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1 **Paving the Way for Autonomous Vehicles: Understanding Autonomous Vehicle Adoption and**
2 **Vehicle Fuel Choice under User Heterogeneity**

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1 **Abstract**

2 Vehicle automation, along with vehicle electrification and shared mobility, may transform the existing
3 transportation if they are handled properly. However, they may create unintended consequences if the
4 current market dominance of fossil fuel and privately-owned vehicles persists, and travel patterns and
5 transportation policies remain unchanged. The extent of these potential benefits and unintended
6 consequences depends on the expected AV adoption process, people’s preferred vehicle powertrain, and
7 AV-related policy and infrastructural support. This paper seeks to understand the impacts of attitudinal
8 factors and roadway designs on people’s intention to use AVs and to purchase battery-electric AVs (EAVs)
9 and gasoline-powered AVs (GAVs) under travel and user heterogeneity. Fourteen latent attitudinal factors
10 related to the perceptions and attitudes towards AV and EV technologies, driving, the environment, and
11 personal innovativeness were considered. An EAV-enabled urban design environments were created,
12 featuring dedicated AV lanes, wireless charging for EAVs, and AV pick-up/drop-off zones. Using a stated
13 preference survey data of over 1,300 responses in the U.S., Multiple Indicators and Multiple Causes models
14 are estimated to understand the relationship among various latent variables and capture heterogeneities
15 within the population based on their sociodemographic and behavioral characteristics. The model
16 estimation results show that the respondents’ perception of AVs and EAVs advantages, road safety
17 improvement potential, compatibility with their lifestyles and travel needs, and their attitudes towards
18 driving are key factors of their intention to use AVs and purchase EAVs. Furthermore, some segments of
19 the population based on their sociodemographic and travel behavior characteristics are more likely to have
20 a higher intention to use AVs and buy EAVs. The model estimation results and study insights can be used
21 by policymakers to develop road network design guidelines and policies to nudge consumers towards more
22 sustainable transportation options, minimize the unintended consequences of vehicle automation, and
23 maximize its benefits.

24

25 **Keywords: autonomous vehicles; battery-electric vehicles; transportation policy; technology**
26 **adoption; roadway design.**

27

1 **1. Introduction**

2 Vehicle automation, along with vehicle electrification and shared mobility, are considered as three
3 revolutions (i.e., vehicle electrification, vehicle automation, and shared mobility) in urban transportation
4 that have the potential to shape the future of how people travel (Fulton, 2018; Sperling, 2018; Sprei et al.,
5 2018). Vehicle electrification refers to replacing the fossil-based fuel powertrain with electricity powertrain,
6 including hybrid, plug-in hybrid, battery, and fuel cell electric vehicles. The development of electric
7 vehicles (EVs) has come far in the past decade with the advancement of battery technology (cost reduction
8 and range increase), financial incentives, infrastructural support (public and private charging stations), and
9 government mandates in China, Europe, and the U.S. (Weiss et al., 2017). Despite public knowledge about
10 the benefits of EVs in reducing greenhouse gas emissions and strong government support, EVs only counted
11 for around one percent of total vehicle sales in the world (Sperling, 2018). Shared mobility represents shared
12 use of a vehicle for performing a trip which can include sharing a vehicle (carsharing) and sharing a
13 passenger ride (ridesharing and on-demand ride services). This represents one of the fast-growing sectors
14 of the emerging sharing economy and mobility services provided by such companies as Uber and Didi
15 Express are actively competing with public transportation, traditional taxi, and private vehicles for
16 passengers (Jin et al., 2018). It can potentially improve vehicle utilization and reduce vehicle ownership
17 and pollution. However, COVID-19 pandemic may have long lasting impacts on impeding the development
18 of shared mobility services (Hadjidemetriou et al., 2020; Guo et al., 2021d). Vehicle automation refers to
19 technological advances to assist and eventually replace human control in the driving process, ranging from
20 no automated functionality to high-level automation (i.e., SAE Level 4 or Level 5 vehicles, hereafter
21 referred to as “AVs”). At levels 4 and 5 of automation, the vehicle operator is not required to drive or take
22 over the driving task when the automated driving system is engaged (SAE International, 2018). Vehicle
23 automation is expected to lead to the next paradigm shift in transportation field despite that potential
24 benefits and issues associated with it are still being critically evaluated and discussed. Many studies predict
25 AVs will be commercially available by the late 2020s in some countries, but they will not be ubiquitous
26 until as early as 2040 or as late as 2060 (Navigant Research, 2014; Litman, 2020). Bansal and Kockelman
27 (2017) estimated that in the United States (the U.S.) by 2045 AVs market penetration could potentially
28 range from 24% under pessimistic estimation and 87% under optimistic estimation. Over 30 states in the
29 U.S., several countries in the European Union (EU), and China have already introduced related legislation
30 to support AV testing and usage (Xu and Fan, 2019). Traditional auto manufacturers such as Ford and GM,
31 ridesharing providers such as Uber and Didi, and technology companies such as Waymo and Baidu have
32 been actively developing AVs and/or AV transportation services with a targeted release date in the 2020s
33 (Walker, 2018; Waymo, 2020). Thomas and Deepti (2018) argued that the development of vehicle
34 automation can accelerate the growth of shared mobility services and lead to a more sustainable
35 transportation future when combined with the advancement of vehicle electrification.

36 It is possible that vehicle automation, electrification, and shared mobility can transform the existing
37 transportation system into an ideal system with dramatically decreased accident rate and increased mobility;
38 nevertheless, caution is needed as the same set of changes can lead to increasing vehicle use, rising
39 greenhouse gas emissions, and accelerating urban sprawl. It is yet unclear what the future transportation
40 system will look like, how each revolution will unfold across the globe, and whether they will create
41 unintended negative consequences. Understanding traveler decision-making process related to AV adoption,
42 powertrain choice (gasoline-powered vs. electric) when buying the vehicle, and ownership choice
43 (privately-owned vs. shared) can be the first step of answering these questions. It is also important to
44 understand how government can influence those decisions through providing the infrastructural and policy
45 support to help harmonize these revolutions so they achieve their optimal outcomes. Only with the
46 collaboration among government, private sectors, and individual travelers, these three revolutions can

1 potentially be well integrated together to reduce congestion, travel-related emissions, and urban sprawl, and
2 improve the travel experience, safety, mobility, accessibility, and equity (Fagnant and Kockelman, 2015;
3 Fox-Penner et al., 2018; Axsen and Sovacool, 2019; Bennett et al., 2019; Herrenkind et al., 2019; Spurlock
4 et al., 2019).

5 Different studies have demonstrated various positive scenarios due to simultaneous adoption of
6 both AVs, and EV, supported by transition to shared mobility. With many people trust that AV can provide
7 safer and more efficient travel mode choice, many travelers become AV users who may not experience
8 driving-related stress and fatigue and can better utilize their in-vehicle time for more productivity or leisure
9 (Litman, 2017). Existing roadway designs will be transformed with wireless charging, AV pickup and drop-
10 off areas, and dedicated AV lanes to be more compatible with electric AVs (EAVs), shared mobility
11 services provided by EAVs, and other sustainable travel mode choices such as automated electric buses and
12 electric scooters. Road accidents caused by human errors such as driving under the influence, distraction,
13 or fatigue, which are the main cause of over 90% of accidents, injuries, and fatalities. They can potentially
14 be avoided with the increased vehicle automation and compatible roadway designs (Kyriakidis et al., 2015;
15 Piao et al., 2016). Travelers who do not have or have lost their ability to drive (e.g., fear of driving, aging,
16 or physical and/or intellectual impairment) can leverage AVs (shared or privately owned) to increase their
17 mobility and accessibility which can lead to social inclusion and improved quality-of-life (Fagnant and
18 Kockelman, 2015; Bennett et al., 2019). The use of AV-based taxis, ridesharing, and vehicle sharing
19 services (hereafter referred to as shared AVs) that are powered by electricity and other alternative fuels
20 instead of driving privately-owned gasoline-powered vehicles can significantly reduce vehicle ownership,
21 vehicle miles traveled (VMT), congestion, total system travel time, parking demand, and greenhouse gas
22 emissions (Greenblatt and Saxena, 2015; Chen et al., 2016).

23 Several studies have explored scenarios and possible consequences where government takes a
24 laissez-faire approach letting the automobile or technology companies dictate when and how these three
25 revolutions will unfold. Without policies and incentives to promote shared mobility, over half of the people
26 who can afford private AVs are unlikely to or will not participate in shared AV programs, and prefer to
27 replace their current vehicles with privately-owned AVs (Bansal et al., 2016; Krueger et al., 2016;
28 Haboucha et al., 2017). Many people have concern over AVs due to the high purchase and usage costs, as
29 well as liability, licensing, security, and privacy concerns associated with AVs (Fagnant and Kockelman,
30 2015; Masoud and Jaykrishnan, 2017; Tussyadiah et al., 2017). They may become AV-have-nots. The
31 occupancy rate of buses and subways will fall as more people shift to using AVs and many transit routes
32 may be terminated due to lack of funding. This may widen the mobility and accessibility gap between AV-
33 hases and AV-have-nots. Most people still prefer gasoline-powered AVs (GAVs) over EAVs, and the
34 increasing VMT contributes to congestions and emissions (Bansal et al., 2016; Harper et al., 2016; Taiebat
35 et al., 2018; Stilgoe, 2018; Zhang et al., 2018; Soteropoulos et al., 2019). Existing suburbs will become less
36 affordable as more people who can afford AVs opts for longer commutes, work during the commute, and
37 live further away from the city center. These can lead to intensified urban sprawl which can have profound
38 impacts on land use, property price, etc. (Fagnant and Kockelman, 2015; Guo et al., 2016; Heinrichs, 2016;
39 Guo et al., 2017; Hawkins and Habib, 2019; Guo and Peeta, 2020). Some studies suggested that if the
40 current domination of privately-owned, gasoline-powered vehicles persists and people's travel patterns and
41 transportation policies remain unchanged, the addition of AVs in to the transportation network would lead
42 to increased overall VMT and emissions due to induced travel demand, decreased value of in-vehicle travel
43 time (e.g., people may choose to live further away from their workplace), unoccupied VMT, and increased
44 accidents due to mixed traffic flow of vehicles with different levels of automation (A. Brown et al., 2014;
45 B. Brown et al., 2014; Fagnant and Kockelman, 2015; de Almeida Correia and van Arem, 2016; Bösch et
46 al., 2017; Auld et al., 2018; Zhang et al., 2018; Zhao and Kockelman, 2018).

1 To sum up, how the future of transportation will unfold depends on people's decisions made related
2 to vehicle automation, vehicle powertrain choice (GAVs or EAVs), and shared mobility. Hence, it is
3 important for policymakers to design infrastructural and policy support to influence how these revolutions
4 will unfold to minimize unintended negative consequences and maximize their benefits. To achieve these,
5 it is important to understand what factors affect these traveler decisions and whether these decisions can be
6 influenced by designing forward-thinking policy and infrastructural support. This study seeks to address
7 these two questions by understanding (i) the impacts of fourteen attitudinal factors on people's intention to
8 use AVs and their intention to purchase GAVs and EAVs while accounting for travel and sociodemographic
9 heterogeneities; and (ii) the impacts of urban roadway designs for accommodating AVs and EAVs on their
10 intention to purchase GAVs and EAVs.

11 The remainder of the paper is organized as follows. Section 2 highlights the literature review of
12 some publications related to this topic in peer-reviewed journals between 2014 and 2019. Section 3 reviews
13 the methodologies related to the data analysis, model constructs, and hypothesis associated with the model
14 constructs in this study. Section 4 discusses the survey design, survey distribution, and descriptive statistics.
15 Section 5 focuses on study results and model estimation results. Section 6 presents some policy
16 recommendations and Section 7 provides some concluding comments, study limitations, and future work.

17 **2. Literature Review and Research Objectives**

18 **2.1. Literature review**

19 An ample number of studies that have investigated various factors that influence people's intention to use
20 (i.e., using services provided by autonomous vehicle technology) and to purchase AVs. Considering that
21 AV technology has yet to reach a mature stage, most studies rely on stated preference survey method to
22 understand the impacts of various factors on public acceptance and adoption decisions of AVs. However,
23 most existing studies in this area did not consider what vehicle fuel type AVs might use. A possible reason
24 is an optimistic assumption that people may not be willing to buy GAVs despite that most vehicles on the
25 road today and most vehicles being sold (except a few countries such as China and Norway) are still
26 gasoline-powered. Such status quo (preference of gasoline-powered vehicles) may unlikely change without
27 being sufficiently challenged. Hence, our literature review includes studies that have focused on people's
28 intention to use AVs and to purchase battery electric conventional vehicles (BEVs) which is one of the most
29 commonly used alternative fuel vehicles.

30 Tables 1–3 summarize 58 recent studies in related fields and their key findings and Table 4
31 summarizes their study population, sample size, method of recruitment, and types of variables included.
32 These studies showed that three types of factors influence people's intention to use and to purchase AVs or
33 BEVs, including sociodemographic factors and travel-related factors (Table 1), attitudinal factors (Table
34 2), and availability of policy measures (Table 3). It is important to note that not all the factors included in
35 the literature review are considered in each study.

1 **Table 1. Literature review summary related to sociodemographic factors and travel-related factors affecting people's intention to use and/or purchase**
 2 **BEVs and AVs**

Factors	Intention to use and/or purchase BEVs		Intention to use and/or purchase AVs	
	References	Most common findings	References	Most common findings
Gender	Jensen et al. (2014) × Nayum and Klöckner (2014) Peters and Dütschke (2014) + Plötz et al. (2014) + Dumortier et al. (2015) × Barth et al. (2016) × Morton et al. (2016) Wang et al. (2017) Berkeley et al. (2018) Huang and Qian (2018) × Westin et al. (2018) Carley et al. (2019) + Okada et al. (2019) Qian et al. (2019) Simsekoglu and Nayum (2019) Sovacool et al. (2019) × Spurlock et al. (2019) ×	The impacts of gender on the intention to use and/or purchase BEVs are complicated . Most studies find that gender does not have a statistically significant impact on their intention to use and/or purchase BEVs. Among studies that found that gender plays a role, most of them state that women have a greater intention to use and/or purchase BEVs compared to men.	Payre et al. (2014) × Kyriakidis et al. (2015) Bansal et al. (2016) × Hohenberger et al. (2016) Zmud et al. (2016) Bansal and Kockelman (2017) Haboucha et al, (2017) + Lavieri et al. (2017) × Hulse et al. (2018) Nazari et al. (2018) Shabanpour et al. (2018) × Acheampong and Cugurullo (2019) Berliner et al. (2019) × Cunningham et al. (2019) × Hardman et al. (2019) × Liu et al. (2019) × Sheela and Mannering (2019) Spurlock et al. (2019) Wang and Zhao (2019) Zoellick et al. (2019) ×	The impacts of gender on the intention to use and/or to purchase AVs are complicated . Most studies find that gender does not have a statistically significant impact on their intention to use and/or to purchase AVs. Among studies that found gender plays a role, most of them found that men have a greater intention to use and/or purchase AVs compared to women.
Age	Nayum and Klöckner (2014) × Dumortier et al. (2015) Valeri and Danielis (2015) Barth et al. (2016) × Morton et al. (2016) × Wang et al. (2017) × Berkeley et al. (2018) Huang and Qian (2018) × Lane et al. (2018) × Westin et al. (2018) Carley et al. (2019) Okada et al. (2019) Qian et al. (2019) × Simsekoglu and Nayum (2019) × Sovacool et al. (2019) × Spurlock et al. (2019)	The impacts of age on the intention to use and/or purchase BEVs are complicated . Most studies find that age does not have a statistically significant impact on their intention to use and/or purchase BEVs. Among studies that state that age plays a role, most found that older people have a lesser intention to use and/or purchase BEVs younger ones.	Payre et al. (2014) × Kyriakidis et al. (2015) Bansal et al. (2016) × Zmud et al. (2016) × Bansal and Kockelman (2017) Haboucha et al, (2017) Lavieri et al. (2017) Hulse et al. (2018) Nazari et al. (2018) Shabanpour et al. (2018) Acheampong and Cugurullo (2019) Berliner et al. (2019) × Cunningham et al. (2019) Hardman et al. (2019) × Liu et al. (2019) × Sheela and Mannering (2019) Spurlock et al. (2019) Wang and Zhao (2019) Zoellick et al. (2019)	Older people have a lesser intention to use and/or purchase AVs younger ones.

Table 1. (Continued)

Factors	Intention to use and/or purchase BEVs		Intention to use and/or purchase AVs	
	References	Most common findings	References	Most common findings
Education	Peters and Dütschke (2014) × Nayum and Klöckner (2014) Dumortier et al. (2015) × Morton et al. (2016) × Wang et al. (2017) × Huang and Qian (2018) Lane et al. (2018) × Westin et al. (2018) Carley et al. (2019) × Simsekoglu and Nayum (2019) × Sovacool et al. (2019) × Spurlock et al. (2019)	People's education level does not have a statistically significant impact on the intention to use and/or purchase BEVs. Among studies that state that education plays a role, most found that people with higher education have a greater intention to use and/or purchase BEVs.	Zmud et al. (2016) × Haboucha et al, (2017) Lavieri et al. (2017) × Acheampong and Cugurullo (2019) Hardman et al. (2019) × Liu et al. (2019) Sheela and Mannering (2019)	People with higher education have a greater intention to use and/or purchase AVs.
Income	Dumortier et al. (2015) × Valeri and Danielis (2015) Morton et al. (2016) × Wang et al. (2017) × Lane et al. (2018) × Westin et al. (2018) × Carley et al. (2019) + Okada et al. (2019) Simsekoglu and Nayum (2019) × Spurlock et al. (2019)	People's income level does not have a statistically significant impact on their intention to use and/or purchase BEVs. Among studies that state that income plays a role, most have found that people with a higher income have a greater intention to use and/or purchase BEVs.	Kyriakidis et al. (2015) Zmud et al. (2016) × Bansal et al. (2016) Shabanpour et al. (2018) Berliner et al. (2019) × Cunningham et al. (2019) × Hardman et al. (2019) Liu et al. (2019) Sheela and Mannering (2019) Spurlock et al. (2019) Wang and Zhao (2019)	People with a higher income have a greater intention to use and/or purchase AVs.
Having a driver's license	N/A	N/A	Bansal et al. (2016) × Nazari et al. (2018) × Liu et al. (2019) ×	Whether a person has a driver's license or not does not have a statistically significant impact on their intention to use and/or purchase AVs.
Household size	Huang and Qian (2018) Westin et al. (2018) × Carley et al. (2019) × Sovacool et al. (2019) ×	People's household size does not have a statistically significant impact on their intention to use and/or purchase BEVs. Among studies that state that household size plays a role, most have found that people with a larger household size have a greater intention to use and/or purchase BEVs.	Bansal et al. (2016) Bansal and Kockelman (2017) Nazari et al. (2018) Shabanpour et al. (2018) Berliner et al. (2019) × Hardman et al. (2019) × Sheela and Mannering (2019)	People with a larger household size have a greater intention to use and/or purchase AVs.

1

Table 1. (Continued)

Factors	Intention to use and/or purchase BEVs		Intention to use and/or purchase AVs	
	References	Most common findings	References	Most common findings
Vehicle miles travelled (VMT)	Westin et al. (2018) Carley et al. (2019) ×	One study found people who have a more VMT have a greater intention to use and/or purchase BEVs. The other one suggested that VMT does not have a statistically significant impact on their intention to use and/or purchase BEVs.	Bansal et al. (2016) × Haboucha et al. (2017) Nazari et al. (2018) + Shabanpour et al. (2018) * Berliner et al. (2019) × Sheela and Mannering (2019)	People who have a more VMT have a greater intention to use and/or purchase AVs.
Classification of living area or living area density	Westin et al. (2018) × Carley et al. (2019) Spurlock et al. (2019)	People who live in suburban, rural or low population density areas have a lesser intention to use and/or purchase BEVs compared to people who live in urban and high population density areas.	Bansal et al. (2016) Lavieri et al. (2017) × Nazari et al. (2018) Shabanpour et al. (2018) Berliner et al. (2019) ×	People who live in suburban, rural or low population density areas have a lesser intention to use and/or purchase AVs compared to people who live in urban and high population density areas.
Number of previous crash experiences	N/A	N/A	Bansal et al. (2016) × Shabanpour et al. (2018) Sheela and Mannering (2019)	People who have been involved in more crashes have a greater intention to use and/or purchase AVs.

2

(× indicates the factor was investigated in the study but was not found to be significant; + indicates the study findings are opposite of the most common findings listed).

3

4

1

Table 2. Literature review summary related to attitudinal factors affecting people's intention to and/or purchase BEVs and AVs

Factors	Intention to use and/or purchase BEVs		Intention to use and/or purchase AVs	
	References	Most common findings	References	Most common findings
<i>Perceived vehicle features/attributes</i>				
Relative advantage in terms of vehicle performance (e.g., maintenance and fuel cost savings)	Jensen et al. (2014) Krupa et al. (2014) Barth et al. (2016) White and Sintov (2017) Lane et al. (2018) × Carley et al. (2019) Sovacool et al. (2019) ×	People who think BEVs have a relative advantage over gasoline-powered vehicles (GVs) have a greater intention to use and/or purchase BEVs.	Payre et al. (2014) Shin et al. (2015) Zmud et al. (2016) König and Neumayr (2017) Kaur and Rampersad (2018) Nordhoff et al. (2018) Nielsen and Haustein (2018) Panagiotopoulos and Dimitrakopoulos (2018) Sanbonmatsu et al. (2018) Cunningham et al. (2019) Liu et al. (2019)	People who think AVs have a relative advantage over human-driven vehicles (HVs) have a greater intention to use and/or purchase AVs.
Compatibility	N/A	N/A	Payre et al. (2014) Shin et al. (2015) Zmud et al. (2016) König and Neumayr (2017) Kaur and Rampersad (2018) Nordhoff et al. (2018) Nielsen and Haustein (2018) Panagiotopoulos and Dimitrakopoulos (2018) Sanbonmatsu et al. (2018) Cunningham et al. (2019) Liu et al. (2019)	People who believe that using AVs is compatible with their lifestyle and needs have a greater intention to use and/or purchase AVs.
Complexity or perceived ease of use	N/A	N/A	Payre et al. (2014) Shin et al. (2015) Zmud et al. (2016) König and Neumayr (2017) Kaur and Rampersad (2018) Nordhoff et al. (2018) Nielsen and Haustein (2018) Panagiotopoulos and Dimitrakopoulos (2018) Sanbonmatsu et al. (2018) Cunningham et al. (2019) Liu et al. (2019)	People who believe that AVs are difficult to use have a lesser intention to use and/or purchase AVs.

2

3

Table 2. Continued

Factors	Intention to use and/or purchase BEVs		Intention to use and/or purchase AVs	
	References	Most common findings	References	Most common findings
<i>Perceived vehicle features/attributes (continued)</i>				
Environmental benefits	Barth et al. (2016) × Lane et al. (2018) Simsekoglu and Nayum (2019)	People who believe that using BEVs has environmental benefits have a greater intention to use and/or purchase BEVs.	N/A	N/A
Range anxiety	Valeri and Danielis (2015) Berkeley et al. (2018) Lane et al. (2018) ×	People who have range anxiety have a lesser intention to use and/or purchase BEVs.	N/A	N/A
Perceived risk and concerns (e.g., data transmission and privacy)	N/A	N/A	Choi and Ji (2015) Kyriakidis et al. (2015) Hohenberger et al. (2016) Lavieri et al. (2017) × Nazari et al. (2018) Cunningham et al. (2019) × Jing et al. (2019) Liu et al. (2019) Wang and Zhao (2019)	People who believe using AVs is risky and have higher concerns over using them have a lesser intention to use and/or purchase AVs.
Perceived safety improvement	N/A	N/A	Kyriakidis et al. (2015) Zmud et al. (2016) Bansal and Kockelman (2017) Haboucha et al. (2017) Hulse et al. (2018) Panagiotopoulos and Dimitrakopoulos (2018) Sanbonmatsu et al. (2018) Shabanpour et al. (2018) Berliner et al. (2019) Liu et al. (2019)	People who believe using AVs can improve road safety have a greater intention to use and/or purchase AVs.
<i>Social factors</i>				
Subjective norms	Barth et al. (2016) Schmalfuß et al. (2017) Simsekoglu and Nayum (2019)	People who believe that most of the people who are important to them would approve of them purchasing and/or using BEVs have a greater intention to use and/or purchase BEVs.	Payre et al. (2014) Buckley et al. (2018) Kaur and Rampersad (2018) Nordhoff et al. (2018) Acheampong and Cugurullo (2019) Jing et al. (2019) Liu et al. (2019)	People who believe that most of the people who are important to them would approve of them purchasing and/or using AVs have a greater intention to use and/or purchase AVs.

Table 2. Continued

Intention to use and/or purchase BEVs			Intention to use and/or purchase AVs	
Factors	References	Most common findings	References	Most common findings
<i>Social factors (continued)</i>				
Image	Huang and Qian (2018) × Lane et al. (2018) ×	People's perceived image of using BEVs does not have a statistically significant impact on their intention to use and/or purchase BEVs.	Acheampong and Cugurullo (2019)	People who believe that using AVs has a positive image in the society have a greater intention to use and/or purchase AVs.
<i>Other types of perceptions and attitude</i>				
Personal innovativeness	Morton et al. (2016) Huang and Qian (2018) × Lane et al. (2018)	People who have a greater personal innovativeness have a greater intention to use and/or purchase BEVs.	Shin et al. (2015) Zmud et al. (2016) Bansal and Kockelman (2017) Haboucha et al. (2017) Lavieri et al. (2017) Nazari et al. (2018)	People who have a greater personal innovativeness have a greater intention to use and/or purchase AVs.
Environmental concerns, ecological awareness, or pro-environmental attitudes, values, beliefs, and norms	Krupa et al. (2014) Helveston et al. (2015) Barbarossa et al. (2015) Barth et al. (2016) × Wang et al. (2017) White and Sintov (2017) × Westin et al. (2018) × Carley et al. (2019) × Ingeborgrud and Ryghaug (2019) Okada et al. (2019) Spurlock et al. (2019) ×	People who are concerned with the negative environmental impacts of traveling have a greater intention to use and/or purchase BEVs.	N/A	N/A
Love of driving and locus of control	N/A	N/A	Payre et al. (2014) + Choi and Ji (2015) Haboucha et al. (2017) × Hardman et al. (2019) +	People's love of driving and locus of control does not have a statistically significant impact on their intention to use and/or purchase AVs. Among studies that state that this factor plays a role, one study found that people who love driving have a lesser intention to use and/or purchase AVs.

Factors	Intention to use and/or purchase BEVs		Intention to use and/or purchase AVs	
	References	Most common findings	References	Most common findings
Experience with the vehicle	Dumortier et al. (2015) Barth et al. (2016) × Schmalfuß et al. (2017) Huang and Qian (2018) Carley et al. (2019) Sovacool et al. (2019)	People who have positive experience (e.g., ownership or test driving) with BEVs have a greater intention to use and/or purchase BEVs.	Chen et al. (2019) Zoellick et al. (2019)	People who have positive experience (e.g., test-driven AVs) with AVs have a greater intention to use and/or purchase AVs.
Knowledge and awareness	Barth et al. (2016) Simsekoglu and Nayum (2019) × Sovacool et al. (2019)	People who consider themselves as knowledgeable of BEVs have a greater intention to use and/or purchase BEVs.	König and Neumayr (2017) Nordhoff et al. (2018) Berliner et al. (2019) Cunningham et al. (2019) Hardman et al. (2019) Jing et al. (2019)	People who consider themselves as knowledgeable of AVs have a greater intention to use and/or purchase AVs.

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(× indicates the factor was investigated in the study but was not found to be significant; + indicates the study findings are opposite of the most common findings listed).

1 **Table 3. Literature review summary related to the availability of policies measures affecting people’s intention to use and/or purchase BEVs and AVs**

Policies	Intention to use and/or purchase BEVs		Intention to use and/or purchase AVs	
	References	Most common findings	References	Most common findings
Financial incentive policy measures	Krupa et al. (2014) Helveston et al. (2015) Wang et al. (2017) Huang and Qian (2018) Lane et al. (2018) Carley et al. (2019) Ingeborgrud and Ryghaug (2019)	Financial incentives can promote the adoption of BEVs.	Harper et al. (2016) Sparrow and Howard (2017)	Financial incentives can promote the adoption of AVs.
Information provision policy measures	Dumortier et al. (2015) Wang et al. (2017) Ingeborgrud and Ryghaug (2019)	Information provision policy can promote the adoption of BEVs.	Du et al. (2019a, b) Lee et al. (2019) Sheela and Mannering (2019)	Information provision policy can promote the adoption of AVs.
Convenience policy and infrastructural support measures	Jensen et al. (2014) Krupa et al. (2014) Dumortier et al. (2015) Wang et al. (2017) Schmalfuß et al. (2017) White and Sintov (2017) × Huang and Qian (2018) Lane et al. (2018) Westin et al. (2018) × Carley et al. (2019) × Ingeborgrud and Ryghaug (2019) Qian et al. (2019) Sovacool et al. (2019)	Convenience policy and infrastructural support measures can promote the adoption of BEVs.	Shabanpour et al. (2018) Chen (2019)	Convenience policy and infrastructural support measures can promote the adoption of AVs.

2 Note: The classification of policy measures is based on Wang et al. (2017).
3 (× indicates the factor was investigated in the study but was not found to be significant; + indicates the study findings are opposite of the most common findings listed).
4

1 **Table 4. Survey characteristics**

Reference	Factors			Region	Sample Size	Recruitment method	Type
	Socio	Attitude	Policy				
Jensen et al. (2014)	✓	✓		Denmark	1,176	Self-distribution	BEV
Krupa et al. (2014)		✓		USA	911	MTurk	BEV
Nayum and Klöckner (2014)	✓	✓		Norway	1,421	Self-distribution	BEV
Payre et al. (2014)	✓	✓		France	421	Self-distribution	AV
Peters and Dütschke (2014)	✓	✓		Germany	969	Self-distribution	BEV
Plötz et al. (2014)	✓	✓		Germany	210	Self-distribution	BEV
Barbarossa et al. (2015)		✓		Denmark, Belgium & Italy	2,005	Survey companies	BEV
Choi and Ji (2015)		✓		South Korea	552	Self-distribution	AV
Dumortier et al. (2015)	✓		✓	USA	2,759	Qualtrics	BEV
Helveston et al. (2015)		✓		China & USA	832	MTurk	BEV
Kyriakidis et al. (2015)	✓	✓		109 countries	5,000	Figure Eight	AV
Shin et al. (2015)	✓	✓		South Korea	675	Face-to-face interview	AV
Valeri and Danielis (2015)	✓	✓		Italy	1,452	Face-to-face interview	BEV
Bansal et al. (2016)	✓	✓		USA	347	Neighborhood association	AV
Barth et al. (2016)	✓	✓		Germany	548	WorkHub	BEV
Hohenberger et al. (2016)	✓	✓		Germany	1,603	Self-distribution	AV
Morton et al. (2016)	✓	✓		UK	506	Mail	BEV
Zmud et al. (2016)	✓	✓		USA	556	Not specify	AV
Bansal and Kockelman (2017)	✓			USA	2,167	Qualtrics	AV
Daziano et al. (2017)		✓		USA	1,260	Qualtrics	AV
Haboucha et al. (2017)	✓	✓		Israel & NA	721	Social media	AV
König and Neumayr (2017)	✓	✓		Mostly from Austria	489	Personal network	AV
Lavieri et al. (2017)	✓	✓		USA	1,832	Open source data	AV
Schmalfuß et al. (2017)		✓		Germany	286	Social media & personal email list	BEV
Wang et al. (2017)	✓	✓	✓	China	324	Auto S4 shops	AV
White and Sintov (2017)	✓	✓	✓	USA	355	Mail list	BEV
Berkeley et al. (2018)	✓	✓		UK	26,195	Automobile Association	BEV
Buckley et al. (2018)		✓		USA	74	Self-distribution	AV
Huang and Qian (2018)	✓	✓		China	348	Shopping malls	BEV
Hulse et al. (2018)	✓	✓		UK	925	Self-distribution	AV
Kaur and Rampersad (2018)		✓		Australia	101	University	AV
Lane et al. (2018)	✓	✓	✓	USA	1,080	Qualtrics	BEV

2

1 **Table 4. Continued**

Reference	Factors			Region	Sample Size	Recruitment	Type
	Socio	Attitude	Policy				
Nordhoff et al. (2018)	✓	✓		116 countries	7,755	Figure Eight	AV
Nielsen and Haustein (2018)		✓		Denmark	3,040	Public sector	AV
Panagiotopoulos and Dimitrakopoulos (2018)		✓		Greece	483	Self-distribution	AV
Sanbonmatsu et al. (2018)		✓		USA	114	MTurk	AV
Shabanpour et al. (2018)	✓		✓	USA	1013	Qualtrics	AV
Westin et al. (2018)	✓	✓		Sweden	1,192	Statistic Sweden	BEV
Acheampong and Cugurullo (2019)	✓	✓		Ireland	507	Self-distribution	AV
Berliner et al. (2019)	✓	✓		USA	2,697	Public projects	AV
Carley et al. (2019)	✓	✓		USA	2,038	Growth from Knowledge	BEV
Chen (2019)	✓	✓		China	700	Face-to-face interview	AV
Cunningham et al. (2019)	✓	✓		Australian & New Zealand	6,133	Qualtrics	AV
Du et al. (2019a)	✓	✓		USA	32	University	AV
Du et al. (2019b)	✓	✓		USA	61	University	AV
Hardman et al. (2019)	✓	✓		USA	2,715	Mail	AV
Ingeborgrud and Ryghaug (2019)		✓	✓	Norway	16,087	Norwegian EV Association	BEV
Jing et al. (2019)		✓		China	906	SoJump	AV
Lee et al. (2019)		✓		South Korea	313	Macromill	AV
Liu et al. (2019)	✓	✓		China	1,355	Face-to-face interview	AV
Okada et al. (2019)		✓		Japan	10,6982	Not specify	BEV
Qian et al. (2019)	✓			China	1,076	Face-to-face interview	BEV
Simsekoglu and Nayum (2019)	✓	✓		Norway	205	Public database	BEV
Sheela and Mannering (2019)	✓			USA	2,338	American Automobile Association	AV
Sovacool et al. (2019)	✓	✓	✓	China	805	Universities	BEV
Spurlock et al. (2019)	✓	✓		USA	1,026	Mail	BEV & AV
Wang and Zhao (2019)	✓	✓		Singapore	1,142	Survey companies	AV
Zoellick et al. (2019)	✓			Germany	125	Face-to-face interview	AV

2

3 In terms of sociodemographic and travel-related factors, some studies suggest that factors such as
 4 *gender* (Jensen et al., 2014; Dumortier et al., 2015; Huang and Qian, 2018; Sovacool et al., 2019; Spurlock
 5 et al., 2019), *age* (Nayum and Klöckner, 2014; Barth et al., 2016; Morton et al., 2016; Wang et al., 2017;
 6 Huang and Qian, 2018; Lane et al., 2018; Simsekoglu and Nayum, 2019; Sovacool et al., 2019), *education*

1 (Peters and Dütschke, 2014; Dumortier et al., 2015; Barth et al., 2016; Morton et al., 2016; Wang et al.,
2 2017; Lane et al., 2018; Carley et al., 2019; Simsekoglu and Nayum, 2019; Sovacool et al., 2019), *income*
3 (Dumortier et al., 2015; Morton et al., 2016; Wang et al., 2017; Lane et al., 2018; Westin et al., 2018;
4 Simsekoglu and Nayum, 2019), *household size* (Westin et al., 2018; Carley et al., 2019; Sovacool et al.,
5 2019), and *vehicle miles traveled* (Carley et al., 2019) does not have a statistically significant impact on
6 their intention to use and/or purchase BEVs (Table 1). Only *the living area classification* was found to be
7 significant in some studies (Carley et al., 2019; Spurlock et al., 2019) in which people who live in suburban,
8 rural or low population density areas have a lesser intention to use and/or purchase BEVs.

9 Some studies show that a *greater* intention to use and/or purchase AVs was associated with *younger*
10 *age* (Kyriakidis et al., 2015; Bansal and Kockelman, 2017; Haboucha et al., 2017; Lavieri et al., 2017;
11 Hulse et al., 2018; Nazari et al., 2018; Shabanpour et al., 2018; Acheampong and Cugurullo, 2019; Sheela
12 and Mannering, 2019; Spurlock et al., 2019; Wang and Zhao, 2019; Zoellick et al., 2019), *higher education*
13 (Haboucha et al., 2017; Acheampong and Cugurullo, 2019; Liu et al., 2019; Sheela and Mannering, 2019),
14 *higher income* (Kyriakidis et al., 2015; Bansal et al., 2016; Shabanpour et al., 2018; Hardman et al., 2019;
15 Liu et al., 2019; Sheela and Mannering, 2019; Spurlock et al., 2019; Wang and Zhao, 2019), *larger*
16 *household size* (Bansal et al., 2016; Bansal and Kockelman, 2017; Nazari et al., 2018; Shabanpour et al.,
17 2018; Sheela and Mannering, 2019), *more vehicle miles traveled* (Haboucha et al., 2017; Sheela and
18 Mannering, 2019), and *living in urban area* (Bansal et al., 2016; Nazari et al., 2018; Shabanpour et al.,
19 2018)

20 Attitudinal factors can be classified into three large categories: perceived vehicle features/attributes
21 (the perception of a certain vehicle features/attributes compared to its competitors'), social factors, and
22 other types of perceptions and attitudes (Table 2). Unlike sociodemographic and travel characteristics, most
23 of the studies reached similar conclusions in terms of attitudinal factors' impacts on people's intention to
24 use and/or purchase BEVs or AVs. *Relative advantage* is defined as the perceived performance advantage
25 (e.g., cost and travel time savings) of using AVs and BEVs compared to using human-driven vehicles (HVs)
26 and gasoline-powered vehicles (GVs), respectively. Some studies suggested that people who think BEVs
27 have a relative advantage over GVs have a greater intention to use and/or purchase BEVs (Jensen et al.,
28 2014; Krupa et al., 2014; Barth et al., 2016; White and Sintov, 2017; Carley et al., 2019). *Compatibility*
29 represents the compatibility of using AVs with their work and lifestyle needs and *complexity* is used to
30 capture the perceived difficulty or level of complication involved in operating AVs. Many studies reached
31 similar conclusions that people who think AVs have a relative advantage over HVs, using AVs is
32 compatible with their lifestyle and needs, or AVs are easy to use have a greater intention to use and/or
33 purchase AVs (Payre et al., 2014; Shin et al., 2015; Zmud et al., 2016; König and Neumayr, 2017; Kaur
34 and Rampersad, 2018; Nordhoff et al., 2018; Nielsen and Haustein, 2018; Panagiotopoulos and
35 Dimitrakopoulos, 2018; Sanbonmatsu et al., 2018; Cunningham et al., 2019; Liu et al., 2019).

36 Both *perceived environmental benefits* and *range anxiety* are related to BEVs only. Lane et al.
37 (2018) and Simsekoglu and Nayum (2019) concluded that people who believe that using BEVs has
38 environmental benefits have a greater intention to use and/or purchase BEVs. Valeri and Danielis (2015)
39 and Berkeley et al. (2018) suggested that people who have range anxiety have a lesser intention to use
40 and/or purchase BEVs. *Perceived risk and concerns* are related to the perceived risks (e.g., privacy and
41 liability concerns) associated with operating an AV. Some studies found out that people who believe using
42 AVs is risky and have higher concerns over using them have a lesser intention to use and/or purchase AVs
43 (Choi and Ji, 2015; Kyriakidis et al., 2015; Hohenberger et al., 2016; Nazari et al., 2018; Jing et al., 2019;
44 Liu et al., 2019; Wang and Zhao, 2019). Many studies showed that people who believe using AVs can
45 improve road safety have a greater intention to use and/or purchase AVs (Kyriakidis et al., 2015; Zmud et

1 al., 2016; Bansal and Kockelman, 2017; Haboucha et al., 2017; Hulse et al., 2018; Panagiotopoulos and
2 Dimitrakopoulos, 2018; Sanbonmatsu et al., 2018; Shabanpour et al., 2018; Berliner et al., 2019; Liu et al.,
3 2019). In terms of social factors, Barth et al. (2016), Schmalfuß et al. (2017), and Simsekoglu and Nayum
4 (2019) suggested that *subjective norms* (i.e., approval for purchasing and/or using BEVs from the most
5 people who are important to them) positively impact participant intention to use and/or purchase BEVs,
6 while many studies found the same relationship for AVs (Payre et al., 2014; Buckley et al., 2018; Kaur and
7 Rampersad, 2018; Nordhoff et al., 2018; Acheampong and Cugurullo, 2019; Jing et al., 2019; Liu et al.,
8 2019). Acheampong and Cugurullo (2019) also suggested that people who believe that using AVs has a
9 positive image in society have a greater intention to use and/or purchase AVs.

10 Other attitudinal factors that affect BEV and AV adoption attitudes include *personal innovativeness*,
11 *environmental concerns* (ecological awareness, or pro-environmental attitudes, values, beliefs, and norms),
12 *love of driving and locus of control* (i.e., people who believes that they can control events), *experience with*
13 *the vehicle*, and *knowledge and awareness* are among other attitudinal factors that affect BEV and AV
14 adoption. People who have greater personal innovativeness have a greater intention to use and/or purchase
15 BEVs (Morton et al., 2016; Lane et al., 2018) and AVs (Shin et al., 2015; Zmud et al., 2016; Bansal and
16 Kockelman, 2017; Haboucha et al., 2017; Lavieri et al., 2017; Nazari et al., 2018). People who have positive
17 experience (e.g., ownership or test-driving) with the BEVs and AVs have a greater intention to use and/or
18 purchase BEVs (Dumortier et al., 2015; Schmalfuß et al., 2017; Huang and Qian, 2018; Carley et al., 2019;
19 Sovacool et al., 2019) and AVs (Chen et al., 2019; Zoellick et al., 2019), respectively. People who consider
20 themselves as knowledgeable of BEVs and AVs have a greater intention to use and/or purchase BEVs
21 (Barth et al., 2016; Sovacool et al., 2019) and AVs (König and Neumayr, 2017; Nordhoff et al., 2018;
22 Berliner et al., 2019; Cunningham et al., 2019; Hardman et al., 2019; Jing et al., 2019), respectively. Many
23 studies suggested that people who are concerned with the negative environmental impacts of traveling have
24 a greater intention to use and/or purchase BEVs (Krupa et al., 2014; Helveston et al., 2015; Barbarossa et
25 al., 2015; Wang et al., 2017; Ingeborgrud and Ryghaug, 2019; Okada et al., 2019). Choi and Ji (2015) found
26 that people who love driving have a lesser intention to use and/or purchase AVs.

27 In terms of the policy impacts, financial incentive policy measures, information provision policy
28 measures, convenience policies, and infrastructural support measures have been explored in their impacts
29 on promoting BEV and AV adoption (Wang et al., 2017). Financial incentive policy measures refer to
30 promoting BEV or AV adoption by reducing vehicle purchasing and operating costs, through policies such
31 as direct subsidies and road tolling exemption. Information provision policy measures present using
32 behavioral intervention strategies to provide information (e.g., battery life information) to potential users
33 for promoting adoption, and infrastructural support measures means using policies (e.g., access to high
34 occupancy vehicle (HOV) lanes) and infrastructure (e.g., dedicated lanes and dedicated parking) support to
35 provide convenience to potential users to promote BEV or AV adoption. The findings related to these
36 policies are relatively consistent and most studies show that these policy measures can potentially facilitate
37 BEV and AV adoption (Krupa et al., 2014; Dumortier et al., 2015; Helveston et al., 2015; Chen et al., 2016;
38 Harper et al., 2016; Wang et al., 2017; Huang and Qian, 2018; Lane et al., 2018; Carley et al., 2019; Chen,
39 2019; Du et al., 2019a; Ingeborgrud and Ryghaug, 2019; Lee et al., 2019; Sheela and Mannering, 2019).

40 In terms of the study region, only 7 out of 58 studies investigated the AV or BEV adoption in more
41 than one country (Table 4). Among the remaining 51 studies, the U.S. (19), the EU (19), and China (7) are
42 the most commonly studied regions. The median sample size of these studies is 918 and only 4 studies
43 covered all three types of factors (i.e., Wang et al., 2017; White and Sintov, 2017; Lane et al., 2018;
44 Sovacool et al., 2019).

1 Studies in Table 3 highlight the similarities and differences in terms of the factors affecting AV
2 and BEV adoption. These studies also show that the impacts of various factors on AV or BEV adoption can
3 be very different, sometimes contradicting, based on the underlying assumptions, experiment setup, and the
4 study nature and survey sample size. These studies provide valuable insights to policymakers for designing
5 effective behavioral intervention strategies and policies for promoting AV or BEV adoption. However, to
6 the best of our knowledge, none of the existing studies have addressed: (i) the potential similarities and
7 differences among the factors that affect people’s intention to purchase gasoline-powered AVs (GAVs) and
8 battery-electric AVs (EAVs); and (ii) the impacts of the availability of dedicated AV lanes and EAV
9 wireless charging options on people’s intention to purchase GAVs and EAVs.

10 **2.2. Research objectives**

11 To address these gaps, a model framework is developed to capture the impacts of various attitudinal
12 factors on people’s intention to use AVs, purchase GAVs and EAVs, and how these intentions can be
13 affected by infrastructural support that enables EAVs. These attitudinal factors, sociodemographic and
14 travel characteristics, and their hypothesized relationships were identified using information from published
15 literature pertaining to AV or BEV adoption. Seven travel and sociodemographic variables were introduced
16 to capture the potential heterogeneities among observations, including gender, age, highest completed level
17 of education, annual household income, the area they live in (urban, suburban, or rural), household size,
18 and the most commonly used mode of transportation for the commute.

19 To evaluate the proposed model and test the hypothesized relationships, a stated-preference survey
20 was designed with a targeted population of U.S. travelers over the age of 18 years. Participants answered
21 questions that captured various attitudinal factors and their intention to use AVs and to purchase GAVs and
22 EAVs. Then, they were asked how their intention to purchase GAVs and EAVs would change if an *EAV-*
23 *enabled roadway design* is used on most of the routes they usually travel on. This design features a
24 dedicated AV lane and roadside parking is replaced with AV pick-up/drop-off zones. The dedicated AV
25 lane has a higher speed limit and includes a wireless charging option for EAVs. Such design can potentially
26 promote EAV adoption as it provides more infrastructural support for EAVs (i.e., higher speed limit,
27 wireless charging, and pick-up/drop-off zones) while reducing some support for human-driven vehicles
28 (i.e., removed roadside parking). Over 1,300 completed surveys were collected and analyzed using Multiple
29 Indicators Multiple Causes (MIMIC) modeling, a special case of Structural Equation Modelling (SEM).
30 Understanding these impacts can assist policymakers in designing effective behavioral intervention
31 strategies and policies to facilitate the desired co-evolutions of vehicle automation, vehicle electrification,
32 and shared mobility to minimize their unintended negative consequences, and promote smooth and
33 sustainable transition to a new transportation system.

34 **3. Methodological Approach**

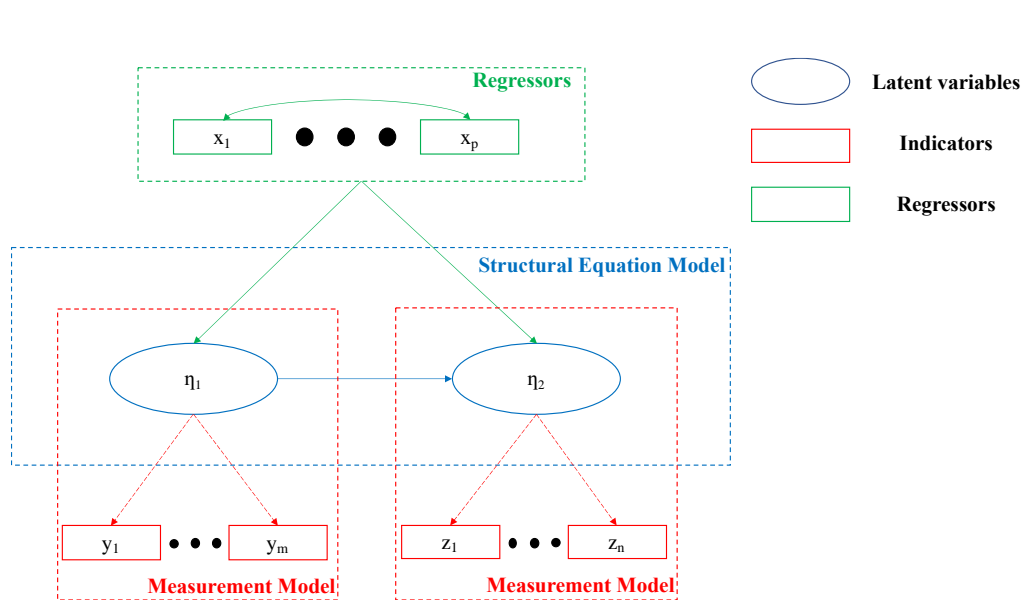
35 **3.1 Methodological review**

36 SEM has been widely used in travel behavior research and other fields such as marketing research,
37 psychology, sociology, and education (Golob, 2003). It is considered a suited method to address some
38 modeling challenges, including studies in which some variables are unobservable (i.e., latent) and are
39 measured using one or more exogenous variables, handling a large number of endogenous and exogenous
40 variables, and addressing complex underlying social phenomena (Washington et al., 2020). The latent
41 variables represent abstract concepts or phenomena such as attitudes, perceptions, social experiences, and
42 emotions that cannot be directly observed or measured (Golob, 2003; Zheng et al., 2020). In addition, SEM
43 can accommodate regression relationships among latent variables and between observed and latent
44 variables. Furthermore, SEM enables users to estimate in one model where one or multiple variables are
45 predicted and predictor variables at the same time (Bowen and Guo, 2012).

1 SEMs contain two components: a measurement model for the endogenous (dependent) variables
 2 (η_2) and exogenous (independent) variables (η_1), and a structural model (Figure 1). The measurement
 3 model specifies how well various measured exogenous (observed) variables measure latent (unobserved)
 4 variables. A measurement model within an SEM incorporates estimates of the weighted average of
 5 exogenous variables in the system, which are called indicators (y_m and z_n) of the latent constructs. These
 6 weights are also called factor loadings. The structural model is concerned with how various variables are
 7 interrelated. A hypothetical conceptual model is created to present these interrelationships based on the
 8 existing literature and hypotheses made, and the model estimation results can be used to validate these
 9 hypotheses (Washington et al., 2020).

10 MIMIC model, a special case of SEM model, enables users to capture the possible heterogeneities
 11 in the measurement of latent variables between different groups of the population when using a SEM (Posey
 12 et al., 2014). The MIMIC approach can be used to restrict a group-invariant covariance matrix for the
 13 observed response variables represented by regressors (Figure 1). It means that users can estimate group
 14 differences on the perceptions of the latent variable by using MIMIC, where the latent variables are
 15 regressed on one or more binary indicators (exogenous observed variables, x_p) that represent group
 16 membership. It enables users to analyze the potential subpopulation differences without partitioning the
 17 population into subsamples at the modeling stage (Kline, 2015). Furthermore, users can test several
 18 different grouping variables all at once without performing a multigroup analysis with one variable at a
 19 time.

20 To sum up, the MIMIC modeling approach enables users to include a set of relevant explanatory
 21 variables to investigate the hypotheses of invariance across subpopulations. This can be important when
 22 analyzing the behavior among a highly heterogeneous population. For example, for a binary regressor such
 23 as “gender”, where 0 means Male, and 1 represents Female; if the variable “gender” has a statistically
 24 significant and negative sign associated with a latent construct based on model estimation results, this would
 25 mean that, in general, female users perceive this latent construct more negatively compared to male users.



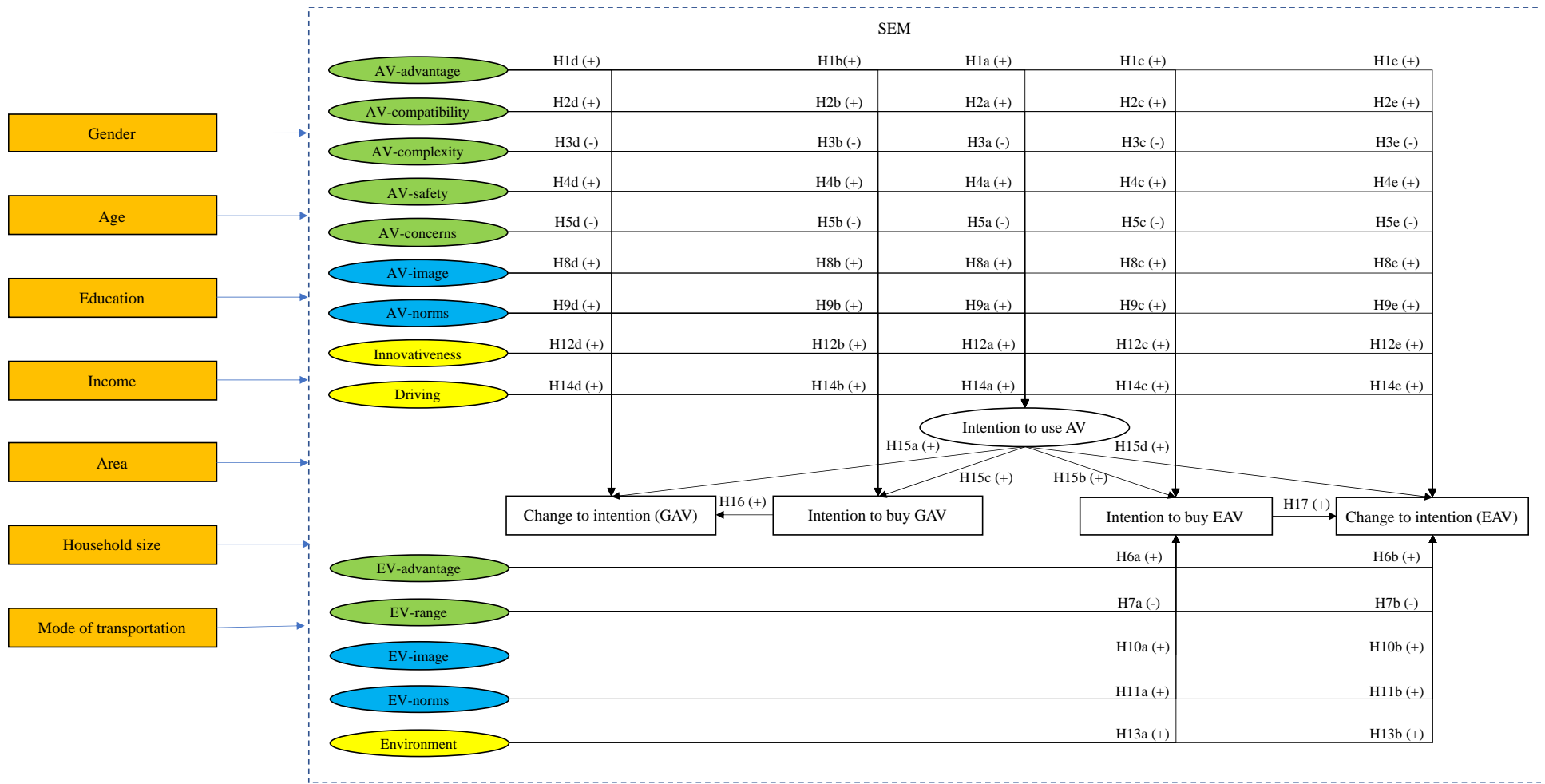
28 **Figure 1. A simplified illustration of a MIMIC model**

29 To validate a MIMIC model, a five-step validation method used in Allen et al. (2018), and
 30 Washington et al. (2020), and Zheng et al. (2020) is implemented. The first step is to evaluate if the
 31 information come from one sign factor using Herman’s single facto score. Second, exploratory factor

1 analysis can be used to evaluate if the number of hypothesized latent constructs is correct. Third,
2 confirmatory factor analysis should be considered to evaluate the internal consistency among measurement
3 models. Fourth, the different constructs' internal reliability should be evaluated. the degree of conceptual
4 overlap among formative indicators should be evaluated to limit potential issues related to multicollinearity.

5 **3.2. Model construct and hypothesis development**

6 As described in section 3.1, a model framework was first conceptualized based on literature review related
7 to AV and BEV adoption, and seventeen hypotheses were established (Figure 2). The fourteen attitudinal
8 factors that were included, are drawn from literature and can be categorized as follows: (i) perceived vehicle
9 features/attributes (relative advantage, compatibility, complexity, road safety improvement, user concerns,
10 and range anxiety), (ii) social impacts (image and subjective norm), and (iii) perception related to new
11 technologies, environment, and driving. It is important to note that the positive (negative) signs in Figure 2
12 mean a latent variable at the arrow tail have a positive (negative) impacts on the outcomes of the variable
13 at the arrowhead.



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Figure 2. Proposed research model for investigating the impacts of attitudinal factors and roadway design features on AV adoption and fuel choice. (+ suggests a positive correlation while - suggests a negative correlation)

1 The model specification and hypothesis development draw inspiration from Technology
2 Acceptance Model (Davis et al., 1989), Technology Diffusion Theory proposed by Rogers (2010), and a
3 wide range of applied literature exploring AVs acceptance and adoption. These methods been widely used
4 in studying AV related adoption (Acheampong and Cugurullo, 2019; Berliner et al., 2019). In Technology
5 Acceptance Model, Technology Diffusion Theory, and their later expansions, a wide range of latent
6 variables (e.g., relative advantage and complexity) were proposed that contributed to a new technology
7 being accepted (e.g., intention to use and willingness to buy). Rogers (2010) highlighted the four key
8 elements that can impact the adoption of new technology including the technology itself, communication
9 channels between marketers and consumers, time, and a social system. According to Technology Diffusion
10 Theory, in the context of AV adoption, it is important to identify potential AV early adopters and their
11 characteristics, and policymakers can potentially use various types of policies and infrastructural support
12 to influence technology diffusion process.

13 Relative performance advantage, compatibility, and complexity (i.e., ease-of-use) have been
14 considered as primary factors that influence the adoption of new technologies (Rogers, 2010; Kulviwat et
15 al., 2007; Herrenkind et al., 2019). In this study, *AV/EV-advantage* is defined as the perceived performance
16 advantage (e.g., cost and travel time savings) of using AVs and BEVs compared to using HVs and GVVs,
17 respectively. *AV-compatibility* represents the compatibility of using AVs with their work and lifestyle needs
18 and *AV-complexity* is used to capture the perceived complexity of operating AVs. *AV-safety* is defined as
19 the perceived safety improvement related to using AVs instead of HVs. The factor “*AV-concerns*” is used
20 to capture the perceived risks (e.g., privacy and liability concerns) associated with operating an AV and
21 *EV-range* is aimed at understanding users’ perceived range anxiety (e.g., limited charging stations) of
22 operating an EV. The hypotheses related to these factors are summarized as follows:

23 H1: The **greater** the perceived AV-advantage, the **greater** intention (a) to use AVs, (b) to purchase
24 GAVs, and (c) to purchase EAVs, and the **increased** greater intention to purchase (d) GAVs and
25 (e) EAVs under the EAV-enabled Roadway Design.

26 H2: The **greater** the perceived AV-compatibility, the **greater** intention (a) to use AVs, (b) to
27 purchase GAVs, and (c) to purchase EAVs, and the **increased** intention to purchase (d) GAVs and
28 (e) EAVs under the EAV-enabled Roadway Design.

29 H3: The **greater** the perceived AV-complexity, the **lesser** intention to (a) use AVs, (b) purchase
30 GAVs, and (c) purchase EAVs, and the **decreased** intention to purchase (d) GAVs and (e) EAVs
31 under the EAV-enabled Roadway Design.

32 H4: The **greater** the perceived AV-safety, the **greater** intention to (a) use AVs, (b) purchase GAVs,
33 and (c) purchase EAVs, and **increased** intention to purchase (d) GAVs and (e) EAVs under the
34 EAV-enabled Roadway Design.

35 H5: The **greater** the perceived AV-concerns, the **lesser** intention to (a) use AVs, (b) purchase
36 GAVs, and (c) purchase EAVs, and the **decreased** intention to purchase (d) GAVs and (e) EAVs
37 under the EAV-enabled Roadway Design.

38 H6: The **greater** the perceived EV-relative advantage, the **greater** intention to (a) purchase EAVs,
39 and the **increased** intention to purchase (b) EAVs under the EAV-enabled Roadway Design.

40 H7: The **greater** the perceived EV-range anxiety, the **lesser** intention to (a) purchase EAVs, and
41 the **decreased** intention to purchase (b) EAVs under the EAV-enabled Roadway Design.

42 AV/EV-image and AV/EV-subjective norms are used to capture the impacts of social influence on
43 AV adoption and fuel choice. In this study, *AV/EV-image* is defined as one’s perception that adopting
44 AV/EV can improve his or her reputation within a group or social system. The construct of *subjective norms*

1 refers to the belief that personally important individuals (i.e., people whose opinions can influence that
2 person) approve or support their decision to adopt AV/EV (i.e., AV/EV-norms).

3 H8: The **greater** the perceived AV-image, the **greater** intention to (a) use AVs, (b) purchase GAVs,
4 and (c) purchase EAVs, and the **increased** intention to purchase (d) GAVs and (e) EAVs under the
5 EAV-enabled Roadway Design.

6 H9: The **greater** the perceived AV-norms, the **greater** intention to (a) use AVs, (b) purchase GAVs,
7 and (c) purchase EAVs, and the **increased** intention to purchase (d) GAVs and (e) EAVs under the
8 EAV-enabled Roadway Design.

9 H10: The **greater** the perceived EV-image, the **greater** intention to (a) purchase EAVs, and the
10 **increased** intention to purchase (b) EAVs under the EAV-enabled Roadway Design.

11 H11: The **greater** the perceived EV-norms, the **greater** intention to (a) purchase EAVs, and the
12 **increased** intention to purchase (b) EAVs under the EAV-enabled Roadway Design.

13 Personal innovativeness, environmental concerns, and attitude towards driving have also been
14 identified in the literature as factors affecting AV and EV adoption. *Innovativeness* is described as the
15 attitude that an individual is attracted to new products or innovations and have a desire to try and purchase
16 them (Ozaki and Dodgson, 2010). *Environment* is related to a potential user's concerns of negative
17 environmental impacts related to their behavior and have a desire to behave in an ecological friendly manner.
18 *Driving* is used to capture a potential user's desire to control the vehicle operation, fondness of driving and
19 driving responsibility, and confidence in their driving skills.

20 H12: The **greater** the personal innovativeness, the **greater** intention to (a) use AVs, (b) purchase
21 GAVs, and (c) purchase EAVs, and the **increased** intention to purchase (d) GAVs and (e) EAVs
22 under the EAV-enabled Roadway Design.

23 H13: The **greater** the perceived environmental concerns, the **greater** intention to (a) purchase
24 EAVs, and the **increased** intention to purchase (b) EAVs under the EAV-enabled Roadway Design.

25 H14: The **greater the negative** attitude towards driving, the **greater** intention to (a) use AVs, (b)
26 purchase GAVs, and (c) purchase EAVs, and the **increased** intention to purchase (d) GAVs and (e)
27 EAVs under the EAV-enabled Roadway Design.

28 Apart from these hypotheses related to various factors affecting AV adoption and fuel choice, three
29 hypotheses were established related to relationship among people's intention to use AVs, intention to
30 purchase GAVs and EAVs, and changes to the purchasing intention under the EAV-enabled Roadway
31 Design.

32 H15: The **greater** intention to use AVs, the **greater** intention to (a) purchase GAVs, and (b)
33 purchase EAVs, and the **increased** intention to purchase (c) GAVs and (d) EAVs under the EAV-
34 enabled Roadway Design.

35 H16: The **greater** intention to purchase GAVs, the **increased** intention to purchase GAVs under
36 the EAV-enabled Roadway Design.

37 H17: The **greater** intention to purchase EAVs, the **increased** intention to purchase EAVs under
38 the EAV-enabled Roadway Design.

39 For each latent variable, a measurement model is built to capture how various observed variables
40 measure latent variable. In addition, seven travel and sociodemographic variables were introduced to
41 capture the potential heterogeneities among observations for each latent variable. These variables are all
42 binary indicator variables: gender (male as 1 and female as 0), age (millennials or younger as 1, otherwise

0), highest completed level of education (college or above as 1, otherwise 0), annual household income (\$75,000 or above as 1, otherwise 0), area lived in (urban as 1, otherwise 0), household size (one or two as 1, otherwise 0), and the most commonly used mode of transportation for daily commute (drive alone or drive with family members as 1, otherwise 0). Using the variable “AV-advantage” as an example, Figure 3 illustrates the relationship among this latent variable, its three indicators (observable), and seven travel and sociodemographic indicator. Millennials or younger are defined as anyone that was born after 1981 (younger than 39 years of age at the time of survey) (Pew Research Center, 2019). The median household income is \$68,703 in 2019 (United States Census Bureau, 2019) and a person with an annual household income higher than \$75,000 suggests that he or she has a relatively high income. Apart from these seven characteristics, a few additional variables were also considered, including marital status, whether they have a valid driver’s license or not, and employment status. However, they were removed due to their high correlation with other variables.

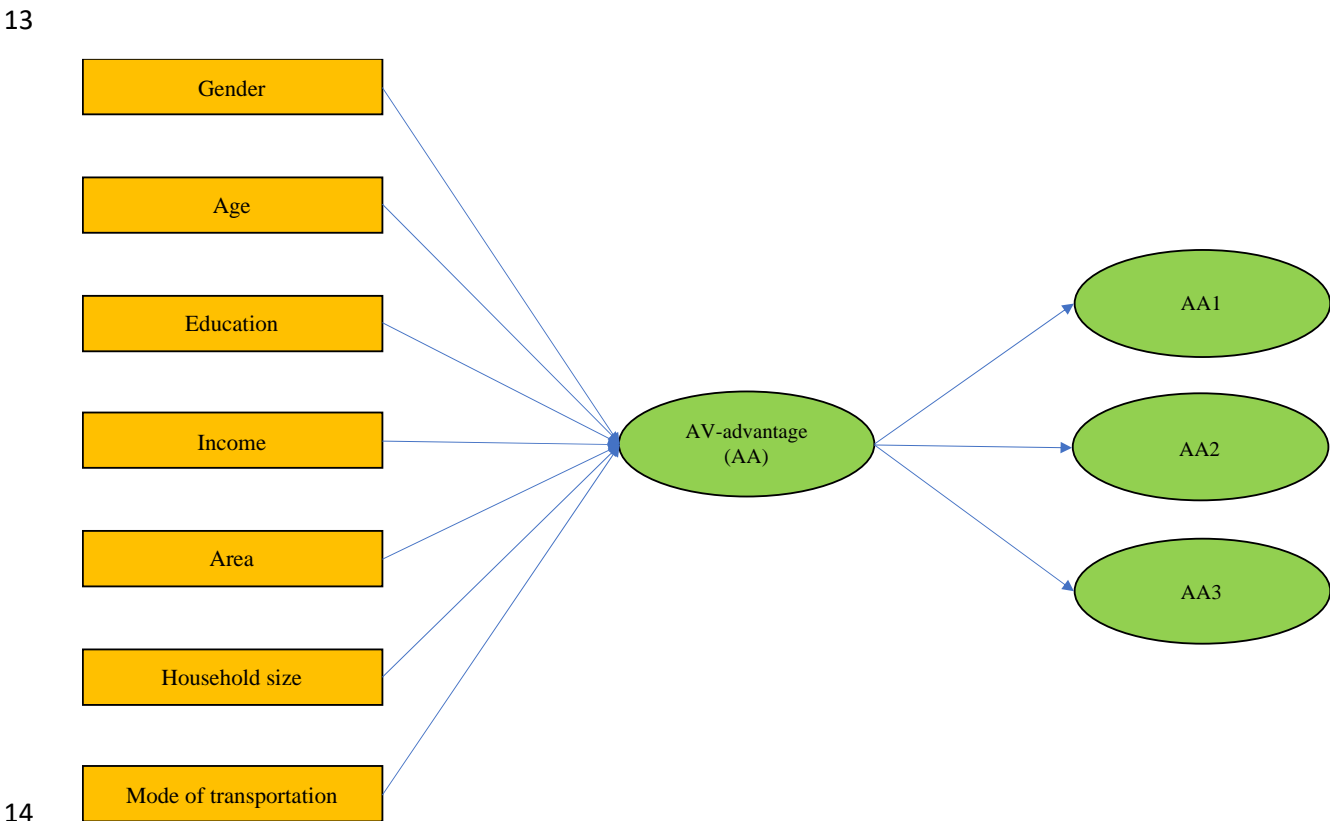


Figure 3. The relationship among a latent variable (green AA), its indicators (green AA1, AA2, and AA3), and seven travel and sociodemographic variables (orange)

3.3. Survey design and distribution

A draft survey questionnaire was designed to evaluate the hypotheses made in section 2.2. After that, a pilot study was conducted among a group of students (i.e., 50) at Purdue University to evaluate the survey questions. Feedback from the pilot study regarding the survey features (for example, the survey length and level of difficulty of the questions) was used to further enhance the survey instrument. The final survey flow is shown in Figure 4.

The survey contains three main sections. In Section I, respondents were asked questions related to their gender, age, highest completed level of education, annual household income, the area they live in

1 (urban, suburban, or rural), household size, and the most commonly used mode of transportation for the
2 commute (colored in yellow in Figure 4). In Section II, respondents were first given the definition of AV.
3 The term “self-driving cars” was used instead of “AVs”. Its definition is based on the SAE Intentional
4 (2018) for vehicles with level-4 automation: “Self-driving cars can perform all driving tasks – essentially,
5 do all the driving – in certain conditions (e.g., urban environment and most highways). You do not need to
6 take over driving in those conditions”. It is important to note that survey respondents need to understand
7 the definition of AVs (self-driving cars) as people’s understanding about the term can be very different.
8 Based on the literature review (Tables 2-3), the descriptions of AVs vary. The most commonly used method
9 to use standard definitions provided by institutions or government bodies (18 out of 33), such as SAE
10 (Bansal et al., 2016; Buckley et al., 2018; Nielsen and Haustein, 2018; Panagiotopoulos and
11 Dimitrakopoulos, 2018; Sanbonmatsu et al., 2018; Berliner et al., 2019; Cunningham et al., 2019; Hardman
12 et al., 2019; Liu et al., 2019; Spurlock et al., 2019), the National Highway Traffic Safety Administration
13 (NHTSA) (Payre et al., 2014; Choi and Ji, 2015; Hohenberger et al., 2016; Zmud et al., 2016; Bansal and
14 Kockelman, 2017; Daziano et al., 2017; Kaur and Rampersad, 2018; Acheampong and Cugurullo, 2019;
15 Zoellick et al., 2019), and others (Kyriakidis et al., 2015). Nordhoff et al. (2018) choose to provide their
16 own description of AVs, Chen (2019) surveyed people who have used the autonomous shuttle in Kaohsiung
17 City, China, and Du et al. (2019a) and Du et al. (2019b) designed an AV in a driving simulator environment
18 using SAE standard. In the rest of the studies, the authors did not provide their definition of AVs or self-
19 driving cars (Shin et al., 2015; Haboucha et al., 2017; König and Neumayr, 2017; Lavieri et al., 2017; Wang
20 et al., 2017; Hulse et al., 2018; Shabanpour et al., 2018; Jing et al., 2019; Lee et al., 2019; Sheela and
21 Mannering, 2019; Wang and Zhao, 2019). Hence, in this study, the SAE definition for AVs (self-driving
22 cars) was used and it was provided to the participants.

23 The 14 attitudinal factors presented in Section 2.2 are latent variables and the items in their latent
24 constructs (i.e., each item represents one question in the survey and is a measurable indicator variable in
25 the model) were established from literature. All indicator questions were measured on a 7-point Likert scale
26 from 1 = “strongly disagree” to 7 = “strongly agree” except (i) people’s intention to purchase GAVs and
27 EAVs which are from 1 = “very weak” to 7 = “very strong”, and (ii) changes to people’s intention to
28 purchase GAVs and EAVs which are from 1 = “definitely decrease” to 7 = “definitely increase”. The latent
29 constructs are presented in Table 5. It means that for each latent variable, it can be the estimates of the
30 weighted average of exogenous variables for these item constructs. For example, AV-relative advantage
31 (AA) has three items, namely, “I think using a self-driving car in my day-to-day commuting would be better
32 than using my daily forms of travel. (AA1)”; “I think a self-driving car would be faster than my daily forms
33 of transportation. (AA2)”; and “I believe that self-driving cars, in comparison to human-driven cars, would
34 have lower follow-up costs (e.g., maintenance). (AA3)”.

35 Finally, Section III focuses on the change in a person’s intention to purchase GAVs and EAVs for
36 an existing roadway design (Figure 5) and a prospective roadway design (Figure 6), termed here as “EAV-
37 enabled Roadway Design”. This design was developed to improve the mobility and accessibility of AVs,
38 reduce range anxiety related to using EAVs, and, as a result to promote AVs adoption. It features a dedicated
39 lane for AVs (i.e., an AV-only lane) with a higher speed limit (10 mph higher) compared to 30 mph for
40 human-driven vehicle lanes, wireless charging for EAVs with electricity costs similar to charging an EAV
41 at home, and replacing all roadside parking with pick-up and drop-off areas for AVs and buses. Visual
42 illustrations were created to effectively show the differences between the EAV-enabled Roadway Design
43 from the existing design to the participants. A standard one-way street was used to illustrate such differences.
44 This roadway features three driving lanes and roadside parking on both sides (Figure 1), and its design is
45 recreated (including lane width and markings) based on a portion of East Washington Street located in
46 downtown Indianapolis, Indiana, USA. Detailed descriptions of each design were provided to participants
47 at the time of survey.

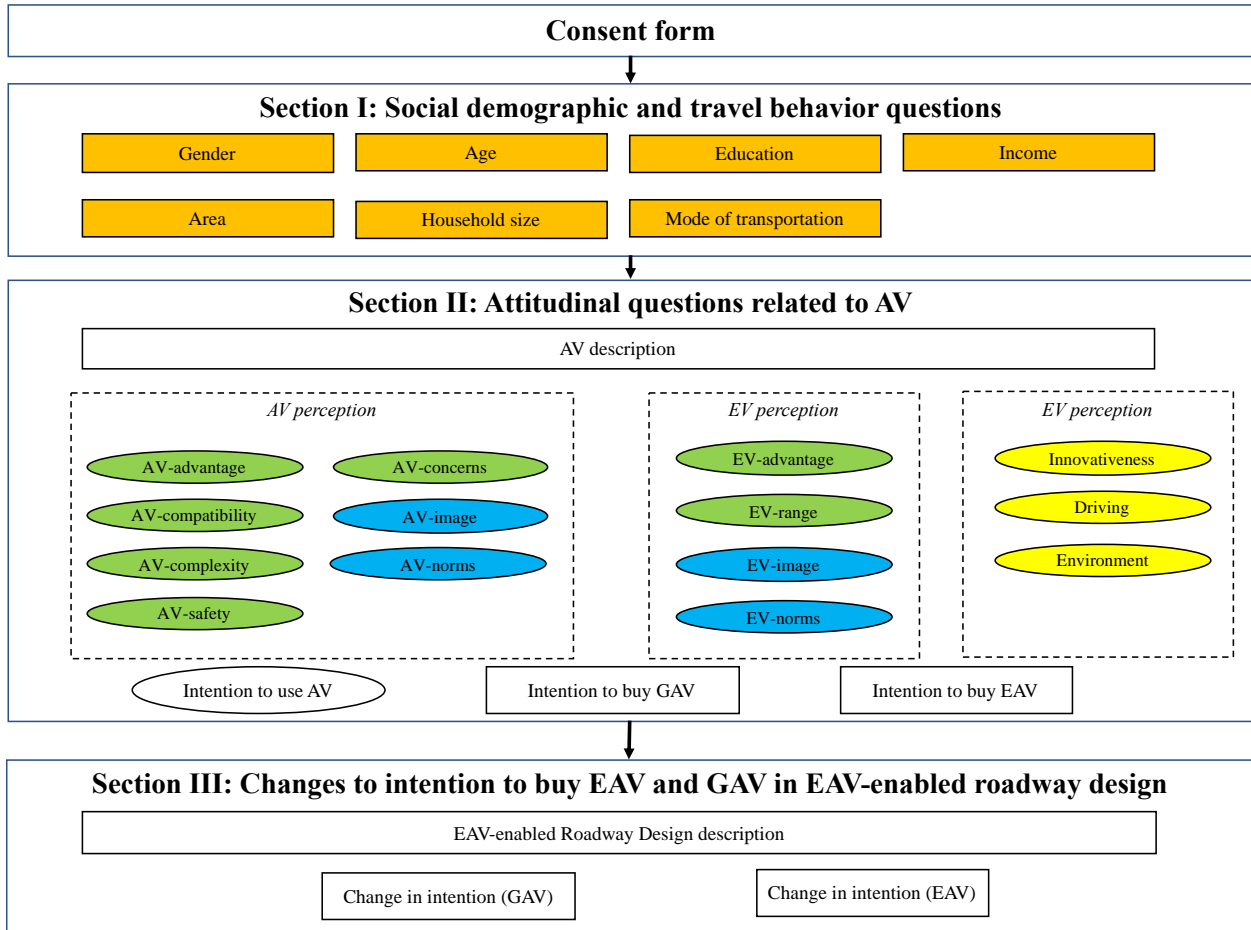
48 Two important assumptions were made. Assumption 1: people are equally likely to have the same
49 intention to use GAVs and EAVs but may have different intentions to buy a GAV or an EAV. As shown in

1 Table 5, the intention to use AV is a latent variable with three indicators, namely “I could imagine myself
2 using a self-driving car instead of a human-driven car. (WA1)”; “If it were affordable, I would use a self-
3 driving car. (WA2)”; “If I have a choice, I would use a self-driving car instead of a human-driven car.
4 (WA3)”. Based on the literature review of 28 studies in the related domain, the impacts of AV service’s
5 fuel type on the intention to use AVs were not studied. In addition, based on the literature related to existing
6 transportation service such as ridesharing industry (Sarriera et al., 2017; Moody et al., 2019; Narayanana,
7 et al., 2020), fuel type was also not included as a factor that affects users’ choice. As these studies have
8 shown, service users are more concerned about the service fee and service quality when making their mode
9 choice. For example, most people are unlikely to reject an Uber car service because it is a Toyota Prius
10 (hybrid electric) or Toyota Corolla (gasoline-powered). However, as a service provider or a car owner, fuel
11 type can be important for them as this is directly related to maintenance, cost, etc.

12 Assumption 2: people’s intention to use AVs does not change significantly from original design to
13 EAV-enabled roadway design as most of the design features as most of the new features (e.g., wireless
14 charging) can be beneficial to the people who own the vehicle (e.g., reduced range anxiety and vehicle
15 charging time) but may have little impact on people who use AV services (e.g., most bus riders do not
16 worry about whether a bus has enough power take them to their destination). Both assumptions were
17 evaluated in the pilot survey study by adding questions related to people’s intention to use GAVs and EAVs
18 and only 1 out of 50 test subjects’ responses disagreed with Assumption 2 (i.e., their intention to use AVs
19 changes in EAV-enable roadway design). Furthermore, based on the pilot study results, questions related
20 the intention to use EAVs and GAVs and the changes of these intentions (12 questions) were reduced to
21 the intention to use AVs (3 questions) to reduce survey response time. The average survey response time
22 in the pilot study was over 25 minutes and the major complaint received was the survey length. As studies
23 have shown (Höhne et al., 2017; Revilla and Ochoa, 2017), relatively longer web-based surveys can
24 potentially lead to relatively low response rate and response quality, and higher cognitive burden. Based on
25 these reasons, a trade-off was made, and these questions were removed from the survey.

26

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2

3 **Figure 4. Survey flow (sociodemographic and travel behavior factors in orange, perceived vehicle**
 4 **features/attributes in green, social factors in blue, and other attitudinal factors in yellow)**

Table 5. Measurement items (questions in the survey) for latent variables of the MIMIC construct and the relevant references for each question

Latent variables	Items	References
AV-relative advantage (AA)	I think using a self-driving car in my day-to-day commuting would be better than using my daily forms of travel. (AA1) I think a self-driving car would be faster than my daily forms of transportation. (AA2) I believe that self-driving cars, in comparison to human-driven cars, would have lower follow-up costs (e.g., maintenance). (AA3)	Arts et al. (2011) Haboucha et al. (2017)
AV-compatibility (AP)	I believe that using self-driving cars is compatible with my work travel needs. (AP1) I believe that using self-driving cars is compatible with my lifestyle needs. (AP2)	Karahanna et al. (2006)
AV-complexity (AX)	I think a self-driving car would be easy to understand how to use. (AX1+) It would not take me long to learn how to use a self-driving car. (AX2+)	From the authors
AV-safety (AS)	I believe that human error is responsible for most of the road accidents. (AS1) I believe that self-driving cars will reduce the amount of road accidents. (AS2) I believe that the interactions between self-driving and human-driving cars are unsafe. (AS3+) I believe that the interactions between self-driving and pedestrian/cyclists are unsafe. (AS4+)	Haboucha et al. (2017)
AV-concerns (AC)	I would be afraid of legal liability when using a self-driving car. (AC1) I would be afraid of system and vehicle security from hackers. (AC2) I would be afraid of the privacy issues relate to using a self-driving car. (AC3) I would be afraid of using a self-driving car for fear of equipment or system failure and I cannot take back vehicle control. (AC4)	Haboucha et al. (2017)
EV-relative advantage (EA)	Using an all-electric car could potentially saving money in the long run. (EA1) Using an all-electric car could cut my greenhouse gas emission significantly. (EA2)	Krupa et al. (2014)
EV-range anxiety (ER)	Public charging stations are not sufficient for me to use just BEVs. (ER1) BEVs have limited driving range and cannot satisfy my day driving needs. (ER2) I would be worried about driving range if I was driving BEVs. (ER3)	Berkeley et al. (2018)
AV-image (AI)	Owning an AV would make it clear to others that I am on the forefront of new technology. (AI1) Owning an AV would make a statement regarding my strong innovative values. (AI2) Owning an AV would increase my reputation in my environment positively. (AI3)	From the authors
AV-subjective norms (AN)	My social environment would tend to expect me to use a self-driving car. (AN1) My friends and family would think that I should use a self-driving car. (AN2) People who influence my behavior tend to think that I should use a self-driving car. (AN3)	From the authors
EV-image (EI)	Owning an all-electric car would make it clear to others that I am on the forefront of new technology. (EI1) Owning an all-electric car would make a statement regarding my strong environmental values. (EI2) Owning an all-electric car would increase my reputation in environment positively. (EI3)	Krupa et al. (2014)
EV-subjective norms (ES)	My social environment would tend to expect me to use a BEV. (ES1) My friends and family would think that I should use a BEV. (ES2) People who influence my behavior tend to think that I should use a BEV. (ES3×)	Krupa et al. (2014)
Personal innovativeness (AT)	I try new products before my friends and neighbors. (AT1) I know more than others on latest new products. (AT2) I often purchase new technology products, even though they are expensive. (AT3) I am excited by the possibilities offered by new technologies. (AT4×) I have little to no interest in new technology. (AT5×+) I think global climate change is mostly attributed to human activities. (EC1)	Ewing and Sarigöllü (2000) Roehrich (2004) Jensen et al. (2014) Krupa et al. (2014)

Environmental concerns (EC)	I think global climate change is a great threat to humanity. (EC2) I think it is important to reduce energy consumption to improve energy independence. (EC3) I feel responsible to mitigate global climate change. (EC4) Environmental problems have been greatly exaggerated. (EC5×) We need to be proactive in protecting the environment as we cannot rely on modern technology to solve environmental problems. (EC6)	Bennett and Vijaygopal (2018)
Attitude towards driving (AD)	I prefer not to have the responsibility of driving. (AD1) I feel nervous when driving. (AD2) I feel uncomfortable driving next to bicyclists. (AD3×) I feel safer driving myself rather than others driving me. (AD4×+) I enjoy driving. (AD5+)	Devarasetty et al. (2014)
Intention to use AVs (WA)	I could image myself using a self-driving car instead of a human-driven car. (WA1) If it were affordable, I would use a self-driving car. (WA2) If I have a choice, I would use a self-driving car instead of a human-driven car. (WA3)	Haboucha et al. (2017)

1 Note: Items that were removed because of low factor loadings are marked with ×.

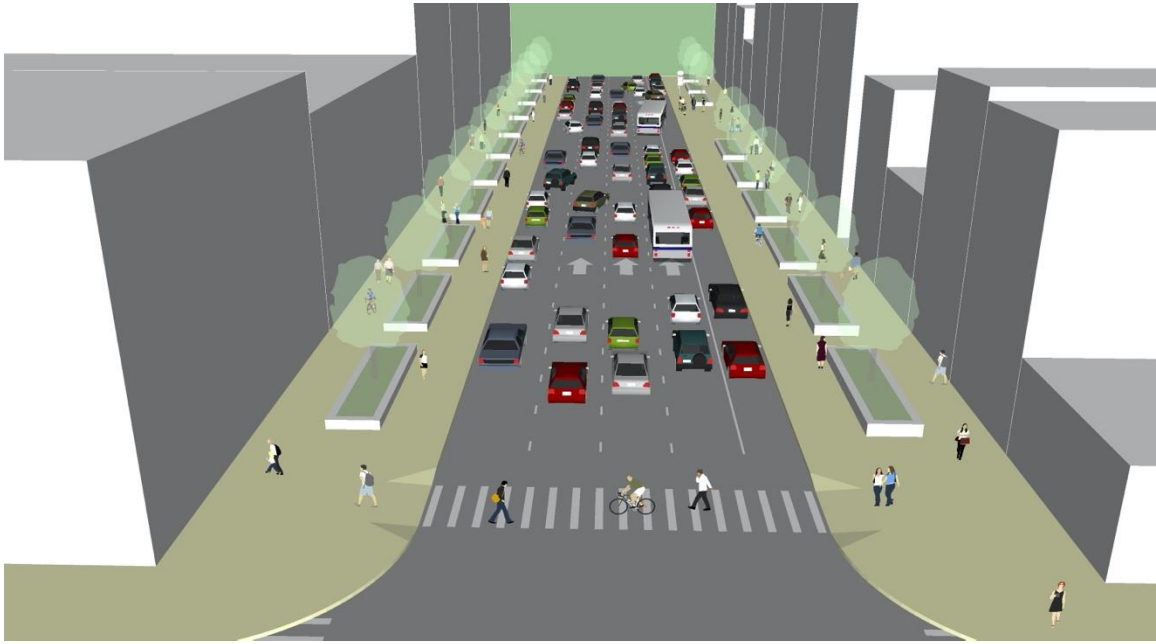
2 Items that were reverse scored are marked with +.

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Figure 5a. Bird's eye view of original roadway design



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Figure 5b. Eye level view of original roadway design

Figure 5. Original one-way street design.

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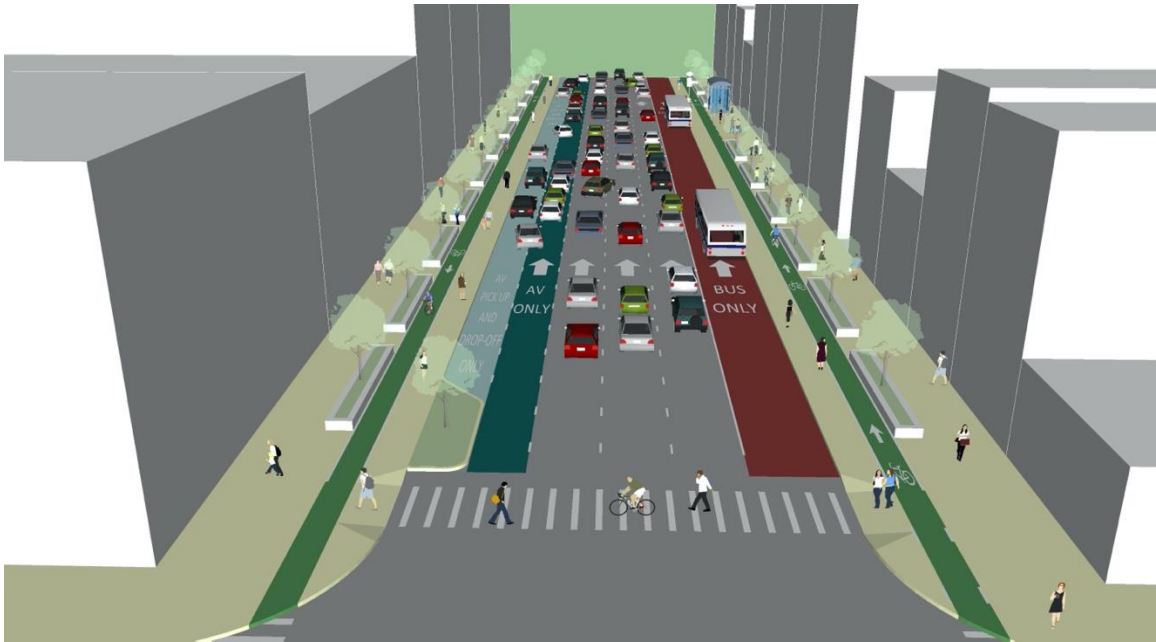


Figure 6a. Bird's eye view of EAV-enabled Roadway Design

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Figure 6b. Eye level view of EAV-enabled Roadway Design.

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Figure 6. EAV-enabled Roadway Design.

10 Three commonly used methods for survey respondent recruitment in the literature (Table 4) were
 11 considered, namely the self-distribution method, using crowdsourcing websites, and using survey
 12 companies. Self-distribution method was removed due to its limitations such as social media filter bubbles
 13 and the inability to reach participants from broader geographical regions which can limit the quality of the
 14 data collected (Groshek and Koc-Michalska, 2017). Distributing the survey on crowdsourcing websites was

1 chosen over survey companies as the more economical option. The average cost per response of using
2 survey companies is about three times higher than using crowdsourcing websites and both methods can
3 provide similar level of reliability in terms of the quality of the response (Guo and Peeta, 2015; Walters et
4 al., 2018; Jing et al., 2019; Rouse, 2019; Li et al., 2019; Guo et al., 2021a; Guo et al., 2021b; Tang et al.,
5 2021). Hence, in this study, the crowdsourcing websites were used for data collection.

6 The study participants were recruited through a commonly used crowdsourcing website, MTurk.
7 MTurk has been used in many recent studies due to its large potential participant pool, relatively fast data
8 collection speed, and relatively high participant attentiveness compared to other online survey platforms
9 (Huff and Tingley, 2015; Hauser and Schwarz, 2016). In this study, MTurk Masters were used as these
10 workers are identified by MTurk as people who have maintained a high level of performance over a long
11 period of time. These MTurk Masters are paid 5 percentage higher than the average MTurk workers. Using
12 MTurk masters can potentially improve survey response quality. The survey was conducted between May
13 2019 and July 2019. All participants were at least 18 years old and lived in the U.S. In addition, three
14 attention check questions were embedded in the survey and only the responses of participants who answered
15 these questions correctly were considered as valid responses. This study was approved by Purdue
16 University's Institutional Review Board and took approximately 25 minutes to complete with participants
17 receiving \$1.25 for valid completions.

18 The data was cleaned up and 37 responses were removed because respondents failed to provide
19 correct answers to attention check questions, complete the survey too fast (i.e., under 10 minutes), or had
20 an IP address that is outside of the U.S. After three months of data collection and data clean up, 1,302 valid
21 responses were collected. The average response time is 23.4 minutes (standard deviation 5.7). The sample
22 size is larger than both the median sample size of all studies in the literature review and the median sample
23 size of all U.S.-based studies in the literature review (Table 4).

24 **4. Study Results**

25 **4.1. Descriptive statistics of the respondents' sociodemographic and travel behavior characteristics**

26 Table 6 shows the descriptive statistics of the respondents' sociodemographic and travel behavior
27 characteristics. 50.2% of the 1,302 participants identify themselves as a female. The youngest respondent
28 was 22 years old and the oldest was 89 years old. 53.6% of the respondents are Millennials or post-
29 Millennials (born after 1980, or younger than 39 years old at the time of the survey), 32.9% of them are
30 Generation X (born between 1965 and 1980 or aged between 39 and 54), and the rest are older generations.
31 The average age of the respondents is 40.1 with a standard deviation of 11.0. Most of the respondents have
32 a college degree or above (56.5%) and live in suburban areas (52.1%). The household income composition
33 is 11% below \$15,000 (about the 10th percentile for U.S household income in 2019), 40.7% between
34 \$15,000 and \$49,999 (between the 11th and 40th percentile), 38.0% between \$50,000 and \$99,999 (between
35 the 41th and 71th percentile), and 10.3% over \$100,000. The average household size was 2.72 (standard
36 deviation 1.47) and the average number of cars per household was 1.63 (standard deviation 0.85) compared
37 to 2.60 and 1.88 on average in the U.S., respectively. Over 72% of them reported that they either choose
38 driving by themselves or driving with family members as their most common mode of transportation to
39 work/school. Apart of the descriptive statistics presented in Table 6, additional questions were asked related
40 to their race, employment type, marital status, and if they have a valid U.S. driver's license. Most common
41 types of the participants are Caucasian (75.0%), full-time employees (65.3%), have a valid U.S. driver's
42 license (over 91%), or are married or have a domestic partnership (48.5%).

1 **Table 6. Descriptive statistics of the respondents' sociodemographic and travel behavior**
 2 **characteristics**

Variables	Items	Percentage
Gender	Male	49.8
	Female	50.2
Age	Millennials or post-Millennials	53.6
	Generation X (born between 1965 and 1980 or aged between 39 and 54)	32.9
	Older generation	13.5
Education	High school graduate (diploma or the equivalent) or lower	11.5
	Some college credit, no degree	21.5
	Technical college degree	10.5
	College degree	46.6
Income	Post graduate degree	9.9
	Below \$15,000	11.0
	Between \$15,000 and \$49,999	40.7
	\$50,000 and \$99,999	38.0
Area living in	Over \$100,000	10.3
	Urban	31.3
	Suburban	51.9
Most common mode of transportation	Rural	16.8
	Drive alone or drive with family members	72.7
	Public transport	4.5
	Bike, walk, or motorcycle	4.3
	Ridesharing or carpooling	0.6
	Don't need to go to work or school	17.9

3

4 **4.2. Model construct validation**

5 The model construct validation (section 4.2) as well as the MIMIC model estimation (section 4.3) were
 6 performed using the open-source package Lavaan in R (RStudio Version 1.1456, R Version 3.5.1) (Rosseeel,
 7 2012).

8 To validate the model construct, the five-step validation method introduced in Section 2.1 was used.
 9 First, Herman's single factors score was used which showed that the information does not come from one
 10 single factor. Second, an exploratory factor analysis was used, and the results support the idea of 14
 11 underlying factors (Kaiser-Mayer-Olkin criterion = 0.88 and the Bartlett's test $p < 0.001$). Third,
 12 confirmatory factor analysis was used to test the internal consistency and the results are presented in Table
 13 5. The results show that all measures of fit met their requirements: the root mean square error of
 14 approximation (RMSEA) = 0.052 (recommended RMSEA < 0.080); standardized root mean square residual
 15 (SRMR) = 0.044 (recommended SRMR < 0.080); comparative fit index (CFI) = 0.931 (recommended CFI
 16 ≥ 0.9); and Tucker-Lewis Index (TLI) = 0.920 (recommended TLI ≥ 0.900) (Hu and Bentler, 1999; Hooper
 17 et al., 2008). Items with factor loadings under 0.7 were eliminated from the analysis, as suggested by
 18 Herrenkind et al. (2019), to guarantee that the item extracts sufficient variance from that latent variable.
 19 The fourth step is to test constructs' internal reliability using Cronbach's Alpha and average variance
 20 extracted (Table 7). Both tests showed that the constructs are beyond the recommended threshold (over 0.7
 21 for Cronbach's Alpha and over 0.5 for average variance extracted) (Bagozzi and Yi, 1988; Bhattacharjee
 22 and Premkumar, 2004). These validation methods showed that the underlying constructs demonstrate
 23 validity and reliability for estimating the proposed MIMIC. The final step is to evaluate the potential
 24 multicollinearity using variance inflation factors (VIFs). All of the VIFs were in the specified 3.3 cut-off
 25 recommended by Petter et al. (2007) to avoid potential multicollinearity.

1 **Table 7. Measurement model for each latent variable using factor loadings, Cronbach's Alpha, and average variance extracted to validate the mode**
 2 **construct**

	Items	Average (<i>SD</i>)	Factor loadings	Cronbach's Alpha	Average variance extracted
AV-relative advantage (AA)	AA1	3.52 (1.83)	0.831	0.812	0.753
	AA2	4.21 (1.84)	0.733		
	AA3	4.02 (1.77)	0.708		
AV-compatibility (AP)	AP1	2.78 (1.56)	0.826	0.788	0.721
	AP2	3.71 (1.78)	0.780		
AV-complexity (AX)	AX1	2.77 (1.39)	0.944	0.827	0.741
	AX2	2.64 (1.46)	0.740		
AV-safety (AS)	AS2	3.09 (1.64)	0.771	0.771	0.711
	AS3	3.91 (1.64)	0.760		
	AS4	4.07 (1.67)	0.751		
AV-concerns (AC)	AC1	4.36 (1.84)	0.870	0.921	0.823
	AC2	4.43 (1.86)	0.812		
	AC3	4.20 (1.91)	0.902		
	AC4	3.74 (1.97)	0.844		
EV-relative advantage (EA)	EA1	5.40 (1.31)	0.769	0.750	0.698
	EA2	5.75 (1.22)	0.771		
EV-range anxiety (ER)	ER1	3.35 (0.94)	0.811	0.809	0.731
	ER2	3.14 (0.97)	0.773		
	ER3	2.28 (0.87)	0.811		
AV-image (AI)	AI2	4.13 (1.99)	0.818	0.772	0.721
	AI3	3.65 (2.03)	0.709		
AV-subjective norms (AN)	AN2	4.18 (1.79)	0.887	0.814	0.735
	AN3	3.72 (1.81)	0.849		
EV-image (EI)	EI1	4.63 (1.44)	0.810	0.838	0.752
	EI2	4.96 (1.55)	0.842		
	EI3	4.65 (1.57)	0.731		
EV-subjective norms (EN)	EN1	4.39 (2.12)	0.871	0.801	0.725
	EN2	4.59 (2.15)	0.881		
Personal innovativeness (AP)	AP1	4.10 (1.59)	0.922	0.874	0.801
	AP2	4.14 (1.62)	0.863		
	AP3	3.52 (1.71)	0.816		
Environmental concerns (EC)	EC1	5.48 (1.66)	0.867	0.910	0.812
	EC2	5.63 (1.62)	0.912		
	EC3	5.89 (1.29)	0.766		
	EC4	4.82 (1.77)	0.746		
	EC5	5.22 (1.93)	0.712		
	EC6	5.58 (1.39)	0.744		

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Table 5. (continued)

	Items	Average (<i>SD</i>)	Factor loadings	Cronbach's Alpha	Average variance extracted
Attitude towards driving (AD)	AD1	4.78 (1.98)	0.812	0.832	0.749
	AD2	5.02 (1.90)	0.786		
	AD5	4.84 (1.74)	0.772		
Changes to the intention to purchase GAVs under the EAV-enabled Roadway Design		4.16 (1.35)	N/A	N/A	N/A
Changes to the intention to purchase EAVs under the EAV-enabled Roadway Design		4.72 (1.46)	N/A	N/A	N/A

1 4.3. Model estimation results

2 The model estimation results including the standardized path coefficients (std. estimate), their standard
3 errors (std. error), and the p -value are presented in Tables 8-11. Only when $p < 0.05$, the proposed
4 hypothesis was considered *supported*, implying that the latent variable has a statistically significant
5 relationship with a variable. RMSEA, SRMR, CFI, and TLI are used to evaluate the performance of the
6 proposed model. The observed RMSEA = 0.061 and SRMR = 0.051 suggest a good model fit (Hu and
7 Bentler, 1999). The observed CFI = 0.915 and TLI = 0.904, comparing the model to an independent model,
8 also indicated a good model fit (Little et al., 2007; Hooper et al., 2008). The final model results are presented
9 in Figure 7 (from the framework in Figure 2) and the statistically significant results are bold. All the
10 numbers in the Figure 7 are standardized path coefficients which represent the correlation between two
11 variables connected by an arrow. Bold numbers indicate that such correlations are statistically significant.
12 The magnitude of standardized coefficients can be directly compared to make inferences about the relative
13 strength of relationship among latent variables (Washington et al., 2020). It is important to note that a larger
14 standardized path coefficient for one variable does not mean that it can explain more variance in the
15 response compared to a variable with a smaller standardized path coefficient. It can only be interpreted as
16 relative influence on the mean of the response. A positive standardized path coefficient means that the
17 explanatory variable changing from 0 to 1 (as all the latent variables are binary variables) lead to an increase
18 in the mean of the explained variable, while a negative standardized path coefficient means that the
19 explanatory variable changing from 0 to 1 lead to a decrease in the mean of the explained variable.

20 The supported hypotheses can be summarized as follows (Tables 8-11). The greater the perceived
21 AV-advantage, the greater intention to use AVs, to purchase GAVs, and to purchase EAVs, and the
22 increased intention to purchase GAVs and EAVs under the EAV-enabled Roadway Design (H1). The
23 greater the perceived AV-compatibility, the greater intention to use AVs, and the increased intention to
24 purchase GAVs and EAVs under the EAV-enabled Roadway Design (H2). The greater the perceived AV-
25 complexity, the lesser intention to use AVs, purchase GAVs, and purchase EAVs (H3). The greater the
26 perceived AV-safety, the greater intention to use AVs, purchase GAVs, and purchase EAVs, and increased
27 intention to purchase GAVs and EAVs under the EAV-enabled Roadway Design (H4). The greater the
28 perceived AV-concerns, the lesser intention to use AVs, purchase GAVs, and purchase EAVs, and the
29 decreased intention to purchase GAVs and EAVs under the EAV-enabled Roadway Design (H5). The
30 greater the perceived EV-relative advantage, the greater intention to purchase EAVs, and the increased
31 intention to purchase EAVs under the EAV-enabled Roadway Design (H6). The greater the perceived EV-
32 range anxiety, the lesser intention to purchase EAVs (H7). In terms of the social factors' impacts, the greater
33 the perceived AV-image, the greater intention to use AVs (H8), while the rest of the factors do not have a
34 statistically significant impacts on the decision variables.

35 In terms of other variables, the greater the personal innovativeness, the greater intention to use AVs,
36 purchase GAVs, and purchase EAVs (H12), and the greater the negative attitude towards driving, the
37 greater intention to use AVs, purchase GAVs, and purchase EAVs, and the increased intention to purchase
38 GAVs and EAVs under the EAV-enabled Roadway Design (H14). In terms of the relationship among
39 people's intention to use AVs, intention to purchase GAVs and EAVs, and changes to these purchasing
40 intention under the EAV-enabled Roadway Design, the mode estimation results show that the greater
41 intention to use AVs, the greater intention to purchase GAVs, and purchase EAVs, and the increased
42 intention to purchase GAVs and EAVs under the EAV-enabled Roadway Design (H15); the greater
43 intention to purchase GAVs, the increased intention to purchase GAVs under the EAV-enabled Roadway
44 Design (H16); and The greater intention to purchase EAVs, the increased intention to purchase EAVs under
45 the EAV-enabled Roadway Design (H17).

46 Table 12 summarizes the MIMIC model estimation results related to how sociodemographic and
47 travel characteristics impact the latent variables. A positive (negative) standard estimate associate with a
48 latent variable suggests some subpopulations have a higher (lower) value of that latent variable. The

1 “Gender” factor is the only factor that significantly impacts any AV-related decision variables. Male
2 respondents are more likely to rate higher on AV’s and EV’s image, EV’s relative advantage, their personal
3 innovativeness, environment concerns related to their negative environmental impacts, and view driving
4 more positively to compared to their female counterparts. At the same time, they are more likely to rate
5 lower towards AV’s relative advantage, compatibility, complexity, and safety compared to their female
6 counterparts. Furthermore, most of them also have a higher intention to purchase GAVs and EAVs, and a
7 larger increase in the intention to purchase GAVs and EAVs under the EAV-enabled Roadway Design.

8 In terms of other six sociodemographic and travel behavior characteristics, they do not have
9 statistically significant direct impacts on AV-related decision variables. However, they have indirect
10 impacts on these variables through other variables as these sociodemographic and travel behavior
11 characteristics have a statistically significant relationship with latent variables that affect AV-related
12 decision variables. Most millennials or younger are more likely to rate higher on perceived AV’s relative
13 advantage, safety advantage, and image, and personal innovativeness compared to older generations. Most
14 people with a college degree or above are more likely to rate higher in terms of AV’s relative advantage,
15 safety, image, and subjective norms, EV’s relative advantage, image, and subjective norms, personal
16 innovativeness, and environmental concerns related to their negative environmental impacts, while they
17 rate lower on AV’s compatibility, complexity, and concerns compared to people who do not have a college
18 degree.

19 Most respondents with relatively higher annual household income (more than \$75,000) are more
20 likely to rate higher on AV’s safety, image, and subjective norms, EV’s subjective norms, personal
21 innovativeness, intention to use AVs, and a negative attitude towards driving, while they rate lower on EV’s
22 relative advantage and their negative environmental impacts compared to respondents with lower income.
23 Most respondents who lived in urban area are more likely to give higher ratings to AV’s relative advantage,
24 compatibility, and image, and EV’s subjective norms, while giving lower ratings to AV’s concerns
25 compared to people living in suburban and rural areas.

26 People who have a relatively small household size (one or two people in the household) are more
27 likely to rate higher on AV’s compatibility, intention to use AVs, EV’s relative advantage and image,
28 personal innovativeness, and their negative environmental impacts, while rate lower on AV’s complexity
29 and concerns compared to people living in a relatively larger household. Most people who drive alone or
30 drive with family members as their most commonly used mode of transportation for commute are more
31 likely to rate higher on AV’s relative advantage, image, and subjective norms, EV’s subjective norms, and
32 positively attitude towards driving, while rate lower on AV’s safety, concerns, and EV’s image compared
33 to people who used other modes of transportation as most commonly used mode of transportation for daily
34 commute.

35 The model estimation result discussion and policy implications are presented in sections 6 and 7.

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1 **Table 8. Hypotheses related to participants' perceived vehicle features/attributes (if the relationship is statistically significant, it is a supported**
 2 **hypotheses)**

	Std. Estimate	Std. Error	p-value	Conclusion
H ₁ : The greater the perceived AV-relative advantage,				
...the greater intention to use AVs	0.237	0.036	0.000	Supported
...the greater intention to purchase GAVs	0.204	0.072	0.005	Supported
...the greater intention to purchase EAVs	0.209	0.041	0.000	Supported
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.058	0.029	0.041	Supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.177	0.033	0.000	Supported
H ₂ : The higher the perceived AV-compatibility,				
...the greater intention to use AVs	0.504	0.033	0.000	Supported
...the greater intention to purchase GAVs	0.064	0.079	0.418	Not supported
...the greater intention to purchase EAVs	0.084	0.051	0.099	Not supported
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.053	0.029	0.041	Supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.177	0.033	0.000	Supported
H ₃ : The higher the perceived AV-complexity,				
...the lesser intention to use AVs	-0.433	0.042	0.000	Supported
...the lesser intention to purchase GAVs	-0.185	0.087	0.033	Supported
...the lesser intention to purchase EAVs	-0.331	0.057	0.000	Supported
...the decreased intention to purchase GAVs under the EAV-enabled Roadway Design	-0.044	0.034	0.201	Not supported
...the decreased intention to purchase EAVs under the EAV-enabled Roadway Design	-0.012	0.297	0.766	Not supported
H ₄ : The higher the perceived AV-safety,				
...the greater intention to use AVs	0.115	0.029	0.000	Supported
...the greater intention to purchase GAVs	0.148	0.058	0.011	Supported
...the greater intention to purchase EAVs	0.197	0.039	0.000	Supported
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.055	0.013	0.000	Supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.058	0.017	0.000	Supported
H ₅ : The higher the perceived AV-concerns,				
...the lesser intention to use AVs	-0.157	0.024	0.000	Supported
...the lesser intention to purchase GAVs	-0.143	0.020	0.000	Supported
...the lesser intention to purchase EAVs	-0.083	0.033	0.011	Supported
...the decreased intention to purchase GAVs under the EAV-enabled Roadway Design	-0.101	0.020	0.000	Supported
...the decreased intention to purchase EAVs under the EAV-enabled Roadway Design	-0.047	0.023	0.038	Supported
H ₆ : The higher the perceived EV-relative advantage,				
...the greater intention to purchase EAVs	0.450	0.043	0.000	Supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.133	0.027	0.000	Supported
H ₇ : The higher the perceived EV-range anxiety,				
...the lesser intention to purchase EAVs	-0.100	0.023	0.000	Supported
...the decreased intention to purchase EAVs under the EAV-enabled Roadway Design	-0.073	0.077	0.339	Not supported

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Table 9. Hypotheses related to social impacts on AV adoption and fuel choice (if the relationship is statistically significant, it is a supported hypotheses)

	Std. Estimate	Std. Error	p-value	Conclusion
H ₈ : The higher the perceived AV-image,				
...the greater intention to use AVs	0.348	0.020	0.000	Supported
...the greater intention to purchase GAVs	0.073	0.052	0.160	Not supported
...the greater intention to purchase EAVs	0.022	0.034	0.519	Not supported
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.011	0.059	0.847	Not supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.065	0.079	0.417	Not supported
H ₉ : The higher the perceived AV-subjective norms,				
...the greater intention to use AVs	0.013	0.030	0.669	Not supported
...the greater intention to purchase GAVs	0.011	0.058	0.845	Not supported
...the greater intention to purchase EAVs	0.012	0.041	0.768	Not supported
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.009	0.023	0.682	Not supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.045	0.026	0.091	Not supported
H ₁₀ : The higher the perceived EV-image,				
...the greater intention to purchase EAVs	0.035	0.031	0.249	Not supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.054	0.031	0.092	Not supported
H ₁₁ : The higher the perceived EV-subjective norms,				
...the greater intention to purchase EAVs	0.016	0.025	0.526	Not supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.008	0.039	0.864	Not supported

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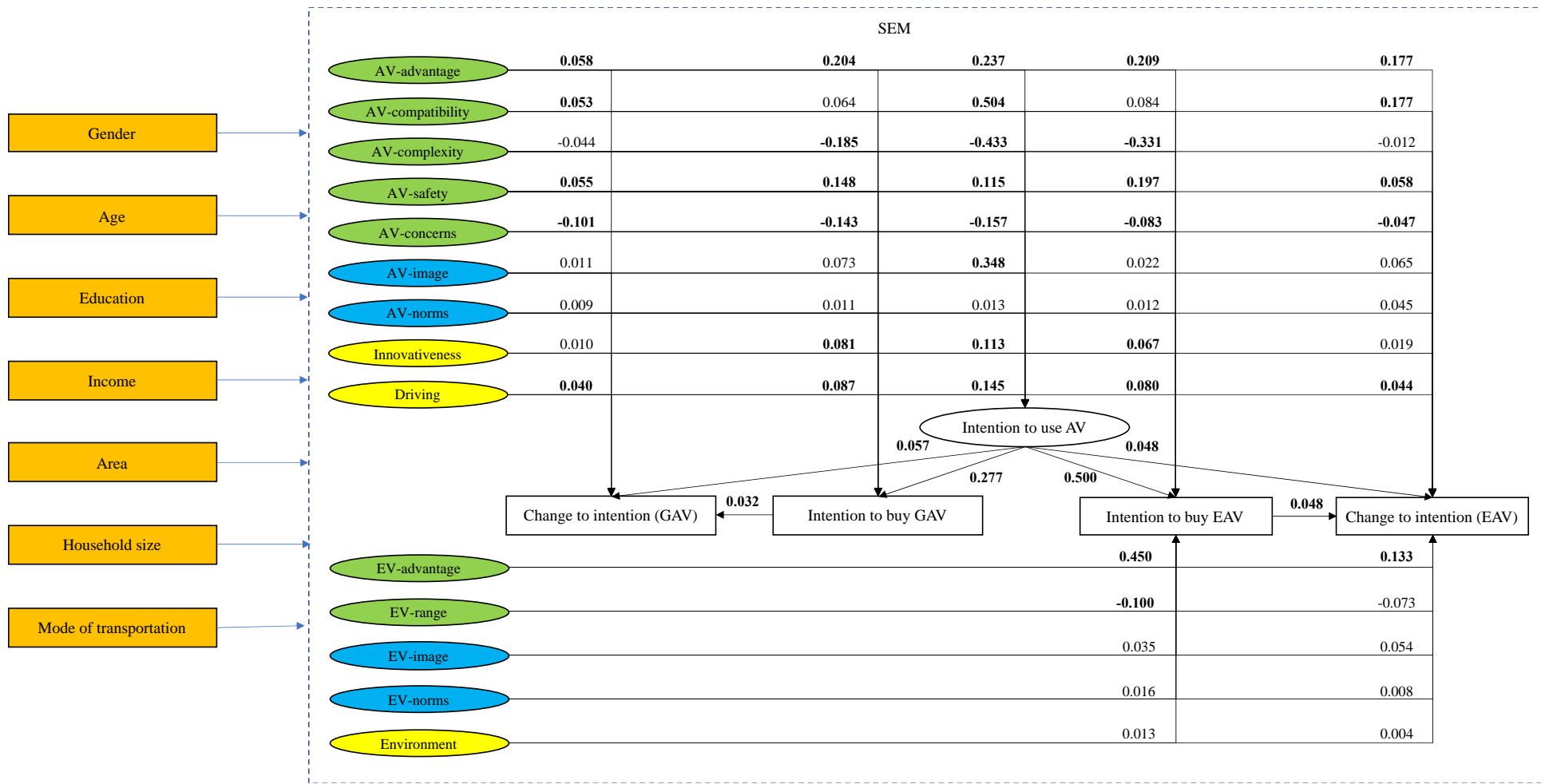
1 **Table 10. Hypotheses related to personal innovativeness, environmental concerns, and attitude towards driving on AV adoption and fuel choice (if the**
 2 **relationship is statistically significant, it is a supported hypotheses)**

	Std. Estimate	Std. Error	p-value	Conclusion
H ₁₂ : The higher the perceived personal innovativeness,				
...the greater intention to use AVs	0.113	0.020	0.000	Supported
...the greater intention to purchase GAVs	0.081	0.040	0.044	Supported
...the greater intention to purchase EAVs	0.067	0.027	0.013	Supported
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.010	0.016	0.514	Not supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.019	0.018	0.294	Not supported
H ₁₃ : The higher the perceived environmental concerns,				
...the greater intention to purchase EAVs	0.013	0.030	0.669	Not supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.004	0.015	0.775	Not supported
H ₁₄ : The higher the negative attitude towards driving,				
...the greater intention to use AVs	0.145	0.020	0.000	Supported
...the greater intention to purchase GAVs	0.087	0.042	0.037	Supported
...the greater intention to purchase EAVs	0.080	0.029	0.000	Supported
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.040	0.017	0.015	Supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.044	0.019	0.020	Supported

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 4 **Table 11. Hypotheses related to the intention to use AVs, and intention to purchase GAVs and EAVs (if the relationship is statistically**
 5 **significant, it is a supported hypotheses)**

	Std. Estimate	Std. Error	p-value	Conclusion
H ₁₅ : The higher intention to use AVs,				
...the greater intention to purchase GAVs	0.277	0.057	0.000	Supported
...the greater intention to purchase EAVs	0.500	0.067	0.000	Supported
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.057	0.019	0.000	Supported
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.048	0.018	0.009	Supported
H ₁₆ : The higher intention to purchase GAVs,				
...the increased intention to purchase GAVs under the EAV-enabled Roadway Design	0.032	0.011	0.003	Supported
H ₁₇ : The higher intention to purchase EAVs,				
...the increased intention to purchase EAVs under the EAV-enabled Roadway Design	0.048	0.018	0.009	Supported

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Figure 7. Mode estimation results for investigating the impacts of attitudinal factors and roadway design features on AV adoption and fuel choice. (+ suggests a positive correlation while - suggests a negative correlation)

1 **Table 12. Sociodemographic and travel characteristics' relationship with latent variables (bold results suggest a statistically significant**
 2 **relationship)**

	Std. Estimate	Std. Error	p-value
Gender: most male respondents are more likely to ... compared to female respondents			
... doubt AV's relative advantage over HVs...	-0.143	0.031	0.000
... doubt AV's compatibility ...	-0.206	0.043	0.000
... doubt AV's complexity ...	-0.192	0.037	0.000
... doubt AV's safety advantage over HVs...	-0.130	0.036	0.000
... doubt the concerns of using AVs...	-0.254	0.046	0.000
... believe EV's relative advantage over GVs...	0.155	0.042	0.000
... <i>does not have a statistically significant impact</i> on the perceived EV-range anxiety...	0.003	0.015	0.789
... believe that using AVs have a positive image ...	0.114	0.054	0.035
... <i>does not have a statistically significant impact</i> on the perceived AV-subjective norms...	-0.049	0.033	0.137
... believe that using EVs have a positive image ...	0.167	0.048	0.000
... <i>does not have a statistically significant impact</i> on the perceived EV-subjective norms...	0.042	0.052	0.418
... believe that they have high personal innovativeness ...	0.109	0.049	0.027
... believe negative environmental impacts related to their behavior (environmental concerns) ...	0.112	0.053	0.035
... have a positive attitude towards driving (i.e., negative towards negative driving attitude) ...	-0.120	0.051	0.018
... <i>does not have a statistically significant impact</i> on the intention to use AVs...	-0.065	0.036	0.068
... have higher intention to purchase GAVs ...	0.411	0.067	0.000
... have higher intention to purchase EAVs ...	0.378	0.047	0.000
... have larger increase in intention to purchase GAVs under the EAV-enabled Roadway Design...	0.616	0.027	0.000
... have larger increase in intention to purchase EAVs under the EAV-enabled Roadway Design...	0.220	0.031	0.000
Age: most millennials or younger are more likely to compared to older generations			
... believe AV's relative advantage over HVs...	0.196	0.049	0.000
... <i>does not have a statistically significant impact</i> on the perceived AV-compatibility...	0.093	0.068	0.171
... <i>does not have a statistically significant impact</i> on the perceived AV-complexity...	0.042	0.058	0.469
... believe AV's safety advantage over HVs...	0.139	0.058	0.017
... <i>does not have a statistically significant impact</i> on the perceived AV-concerns...	-0.036	0.074	0.624
... <i>does not have a statistically significant impact</i> on the perceived EV-relative advantage...	0.056	0.077	0.466
... <i>does not have a statistically significant impact</i> on the perceived EV-range anxiety...	0.005	0.066	0.924
... believe that using AVs have a positive image ...	0.201	0.088	0.022
... <i>does not have a statistically significant impact</i> on the perceived AV-subjective norms...	0.099	0.055	0.070
... <i>does not have a statistically significant impact</i> on the perceived EV-image...	0.056	0.077	0.466
... <i>does not have a statistically significant impact</i> on the perceived EV-subjective norms...	0.002	0.090	0.988
... believe that they have high personal innovativeness ...	0.174	0.080	0.030
... <i>does not have a statistically significant impact</i> on the perceived environmental concerns...	0.107	0.086	0.214
... <i>does not have a statistically significant impact</i> on the attitude towards driving...	-0.048	0.082	0.554
... <i>does not have a statistically significant impact</i> on intention to use AVs...	0.032	0.054	0.556

... <i>does not have a statistically significant impact</i> on intention to purchase GAVs...	0.043	0.103	0.674
... <i>does not have a statistically significant impact</i> on intention to purchase EAVs...	0.149	0.105	0.159
... <i>does not have a statistically significant impact</i> on the changes to intention to purchase GAVs under the EAV-enabled Roadway Design...	0.147	0.106	0.164
... <i>does not have a statistically significant impact</i> on the changes to intention to purchase EAVs under the EAV-enabled Roadway Design...	0.009	0.047	0.844

Education: most respondents with a college degree or above are more likely to ... compared to those who don't have

... believe AV's relative advantage over HVs...	0.349	0.037	0.000
... doubt AV's compatibility ...	-0.587	0.052	0.000
... doubt AV's complexity ...	-0.492	0.047	0.000
... believe AV's safety advantage over HVs...	0.391	0.043	0.000
... doubt the concerns of using AVs...	-0.569	0.053	0.000
... believe EV's relative advantage over GVs...	0.308	0.048	0.000
... <i>does not have a statistically significant impact</i> on the perceived EV-range anxiety...	0.019	0.078	0.811
... believe that using AVs have a positive image ...	0.737	0.063	0.000
... believe that AV-subjective norms...	0.081	0.039	0.039
... believe that using EVs have a positive image ...	0.263	0.055	0.000
... believe that EV-subjective norms...	0.219	0.034	0.000
... believe that they have high personal innovativeness ...	0.150	0.056	0.008
... believe the negative environmental impacts related to their behavior (environmental concerns) ...	0.355	0.061	0.000
... <i>does not have a statistically significant impact</i> on the attitude towards driving...	-0.050	0.058	0.392
... <i>does not have a statistically significant impact</i> on the intention to use AVs...	0.027	0.054	0.618
... <i>does not have a statistically significant impact</i> on the intention to purchase GAVs	0.019	0.099	0.848
... <i>does not have a statistically significant impact</i> on the intention to purchase EAVs	0.035	0.032	0.277
... <i>does not have a statistically significant impact</i> on the changes to the intention to purchase GAVs under the EAV-enabled Roadway Design	0.037	0.039	0.340
... <i>does not have a statistically significant impact</i> on the changes to the intention to purchase EAVs under the EAV-enabled Roadway Design	0.010	0.047	0.822

Annual household income: most respondents with relatively high annual household income (more than \$75,000) are more likely to ... compared to those who have relatively low annual household income.

... <i>does not have a statistically significant impact</i> on the perceived AV-relative advantage...	0.018	0.029	0.550
... <i>does not have a statistically significant impact</i> on the perceived AV-compatibility...	0.056	0.041	0.176
... <i>does not have a statistically significant impact</i> on the perceived AV-complexity...	0.061	0.035	0.082
... believe AV's safety advantage over HVs...	0.244	0.036	0.000
... <i>does not have a statistically significant impact</i> on the perceived AV-concerns	0.007	0.044	0.869
... doubt EV's relative advantage over GVs...	-0.126	0.041	0.002
... <i>does not have a statistically significant impact</i> on the perceived EV-range anxiety	0.002	0.089	0.986
... believe that using AVs have a positive image ...	0.206	0.053	0.000

... believe that AV-subjective norms...	0.175	0.045	0.000
... <i>does not have a statistically significant impact</i> on the perceived EV-image	0.006	0.046	0.903
... believe that EV-subjective norms...	0.228	0.051	0.000
... believe that they have high personal innovativeness ...	0.562	0.048	0.000
... doubt the negative environmental impacts related to their behavior (environmental concerns) ...	-0.228	0.052	0.000
... have a negative attitude towards driving (i.e., positive towards negative driving attitude) ...	0.240	0.050	0.000
... have a higher intention to use AVs ...	0.084	0.036	0.019
... <i>does not have a statistically significant impact</i> on the intention to purchase GAVs	0.046	0.069	0.499
... <i>does not have a statistically significant impact</i> on the intention to purchase EAVs	0.132	0.080	0.099
... <i>does not have a statistically significant impact</i> on the changes to intention to purchase GAVs under the EAV-enabled Roadway Design	0.011	0.027	0.674
... <i>does not have a statistically significant impact</i> on the changes to intention to purchase EAVs under the EAV-enabled Roadway Design	0.038	0.032	0.235

Area lived in: most respondents who live in urban region are more likely to ... compared to people who live

... believe AV's relative advantage over HVs...	0.268	0.057	0.000
... believe AV's compatibility ...	0.145	0.078	0.061
... <i>does not have a statistically significant impact</i> on the perceived AV-complexity...	0.061	0.035	0.082
... <i>does not have a statistically significant impact</i> on the perceived AV-safety...	0.031	0.066	0.642
... doubt the concerns of using AVs...	-0.383	0.084	0.000
... <i>does not have a statistically significant impact</i> on the perceived EV-relative advantage...	-0.020	0.077	0.790
... <i>does not have a statistically significant impact</i> on the perceived EV-range anxiety...	0.127	0.082	0.121
... believe that using AVs have a positive image ...	0.463	0.100	0.000
... <i>does not have a statistically significant impact</i> on the perceived AV-subjective norms...	-0.078	0.060	0.194
... <i>does not have a statistically significant impact</i> on the perceived EV-image...	-0.157	0.088	0.074
... believe that EV-subjective norms ...	0.533	0.097	0.000
... <i>does not have a statistically significant impact</i> on the perceived personal innovativeness...	-0.074	0.091	0.414
... <i>does not have a statistically significant impact</i> on the perceived environmental concerns...	-0.061	0.098	0.536
... <i>does not have a statistically significant impact</i> on the attitude towards driving...	0.030	0.317	0.751
... <i>does not have a statistically significant impact</i> on the intention to use AVs...	0.100	0.063	0.110
... <i>does not have a statistically significant impact</i> on the intention to purchase GAVs...	0.071	0.120	0.552
... <i>does not have a statistically significant impact</i> on the intention to purchase EAVs...	0.148	0.105	0.160
... <i>does not have a statistically significant impact</i> on the intention to purchase GAVs under the EAV-enabled Roadway Design...	-0.003	0.047	0.950
... <i>does not have a statistically significant impact</i> on the intention to purchase EAVs under the EAV-enabled Roadway Design...	0.079	0.055	0.150

Household size: most people who have fewer than three people in their household are more likely to ... compared to those who have three or more people in their household

... <i>does not have a statistically significant impact</i> on the perceived AV-relative advantage...	0.026	0.036	0.473
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... believe AV's compatibility ...	-0.194	0.050	0.000
... doubt AV's complexity ...	-0.184	0.043	0.000
... <i>does not have a statistically significant impact</i> on the perceived AV-safety...	-0.071	0.043	0.099
... doubt the concerns of using AVs...	-0.250	0.054	0.000
... believe EV's relative advantage over GVs...	0.180	0.050	0.000
... <i>does not have a statistically significant impact</i> on the perceived EV-range anxiety...	0.009	0.090	0.917
... believe that using AVs have a positive image ...	0.244	0.064	0.000
... <i>does not have a statistically significant impact</i> on the perceived AV-subjective norms...	0.055	0.039	0.160
... believe that using EVs have a positive image ...	0.154	0.056	0.006
... <i>does not have a statistically significant impact</i> on the perceived EV-subjective norms...	0.019	0.076	0.798
... believe that they have high personal innovativeness ...	0.133	0.059	0.023
... believe the negative environmental impacts related to their behavior (environmental concerns) ...	0.170	0.063	0.007
... <i>does not have a statistically significant impact</i> on the attitude towards driving	0.099	0.060	0.101
... have a higher intention to use AVs ...	0.160	0.041	0.000
... <i>does not have a statistically significant impact</i> on the intention to purchase GAVs	0.132	0.080	0.099
... <i>does not have a statistically significant impact</i> on the intention to purchase EAVs	0.148	0.105	0.160
... <i>does not have a statistically significant impact</i> on the intention to purchase GAVs under the EAV-enabled Roadway Design	0.035	0.032	0.277
... <i>does not have a statistically significant impact</i> on the intention to purchase EAVs under the EAV-enabled Roadway Design	0.014	0.037	0.704

The most commonly used mode of transportation for daily commute: most people who drive alone or drive with family members are more likely to ... compared to those who use other modes of transportation for commute

... believe AV's relative advantage over HVs...	0.115	0.049	0.018
... <i>does not have a statistically significant impact</i> on the perceived AV-compatibility...	0.051	0.067	0.452
... <i>does not have a statistically significant impact</i> on the perceived AV-complexity...	0.087	0.057	0.130
... doubt AV's safety advantage over HVs...	-0.129	0.058	0.026
... doubt the concerns of using AVs...	-0.175	0.073	0.016
... doubt EV's relative advantage over GVs...	-0.243	0.076	0.001
... <i>does not have a statistically significant impact</i> on the perceived EV-range anxiety...	0.020	0.060	0.735
... believe that using AVs have a positive image ...	0.215	0.087	0.013
... believe that AV-subjective norms...	0.274	0.084	0.001
... doubt that using EVs have a positive image ...	-0.243	0.076	0.001
... believe that EV-subjective norms ...	0.274	0.084	0.001
... <i>does not have a statistically significant impact</i> on the perceived personal innovativeness	-0.087	0.079	0.273
... <i>does not have a statistically significant impact</i> on the perceived environmental concerns	0.043	0.086	0.618
... have a positive attitude towards driving (i.e., negative towards negative driving attitude) ...	-0.464	0.083	0.000
... <i>does not have a statistically significant impact</i> on the intention to use AVs	0.087	0.055	0.113
... <i>does not have a statistically significant impact</i> on the intention to purchase GAVs	0.127	0.104	0.221
... <i>does not have a statistically significant impact</i> on the intention to purchase EAVs	0.148	0.105	0.160

... <i>does not have a statistically significant impact</i> on the intention to purchase GAVs under the EAV-enabled Roadway Design	0.041	0.041	0.325
... <i>does not have a statistically significant impact</i> on the intention to purchase EAVs under the EAV-enabled Roadway Design	0.067	0.048	0.162

1

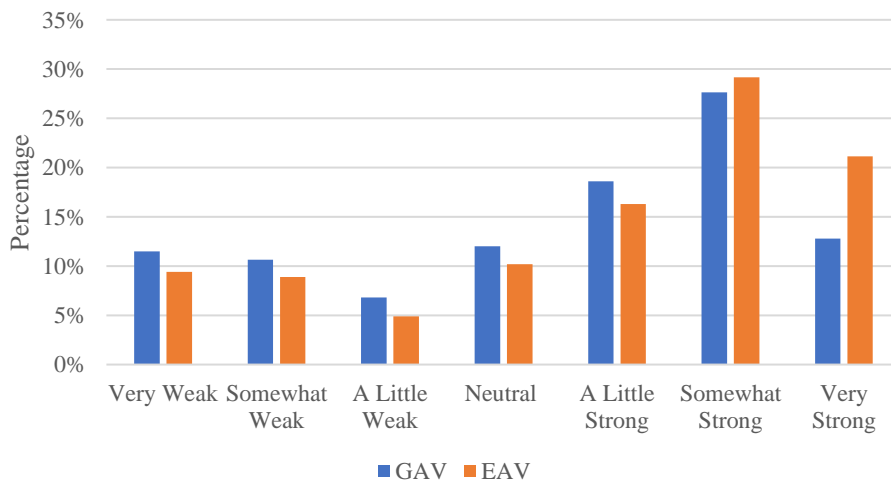
1 **5. Discussions of Results**

2 **5.1. Impacts of EAV-enabled design on the change to the willingness to buy GAVs and EAVs**

3 As shown in Figure 8(a), under current condition (i.e., existing roadway design), about 66.6% of the
4 respondents have strong (i.e., “a little strong”, “somewhat strong”, or “very strong”) intention to buy EAVs
5 and 59% of the respondents have strong intention to buy GAVs.

6 Respondents' stated intentions to buy AVs of different fuel types revealed that they might only be
7 open to purchasing AVs of their preferred fuel type. Less than half of all respondents (47%) have affirmative
8 (i.e., “a little strong”, “somewhat strong”, or “very strong”) intentions to buy AVs of either powertrain type.
9 It is important to note that many respondents only prefer one of the AV fuel types. 12% of all respondents
10 only have strong (i.e., “a little strong”, “somewhat strong”, or “very strong”) intention to buy GAVs over
11 EAVs (i.e., “neutral”, “a little weak”, “somewhat weak”, or “very weak” intention to buy EAVs). 19% of
12 all respondents only have strong (i.e., “a little strong”, “somewhat strong”, or “very strong”) intention to
13 buy EAVs over GAVs (i.e., “neutral”, “a little weak”, “somewhat weak”, or “very weak” intention to buy
14 GAVs). The rest (22%) had a weak to neutral intention to buy either type of AVs (i.e., “neutral”, “a little
15 weak”, “somewhat weak”, or “very weak” intention to buy GAVs or EAVs). These results suggest that (i)
16 about half of the respondents may choose either fuel type and their choices can affect the overall impacts
17 of AVs on environment and CO2 emissions; and (ii) some people may only want to buy GAVs.

18 The EAV-enabled design has very little impacts on the change in respondents' willingness to buy
19 GAVs. Although this design has some features (e.g., increased speed limit) that can also benefit GAVs,
20 only about 28% of the respondents suggest that their willingness to buy GAVs is “somewhat likely”,
21 “likely”, or “definitely” going to increase in this design. However, around 50% of the respondents suggest
22 that their willingness to buy EAVs is “somewhat likely”, “likely”, or “definitely” going to increase in this
23 design with “wireless charging” as the only features differentiating between the benefits towards GAVs
24 and EAVs. This highlights the importance of (i) increasing the EAV range by EAV manufactures and (ii)
25 reducing people's range anxiety through information programs and public infrastructure support; these can
26 play key roles in reducing people's range anxiety and promoting EAV adoption over GAV when both types
27 of AVs become available.

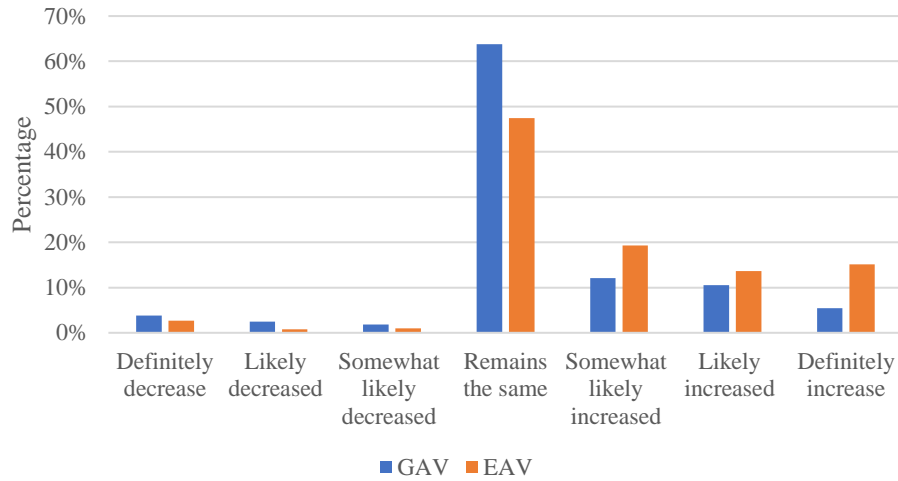


28

29

Figure 8(a). Respondents' willingness to buy GAVs and EAVs

1



2

3 **Figure 8(b). Respondents’ change to the willingness to buy GAVs and EAVs in EAV-enabled design**

4 **Figure 8. Impacts of EAV-enabled design on the change to the willingness to buy GAVs and EAVs**

5 **5.2. Perceived vehicle features and attributes**

6 Six key findings can be identified based on model estimation results. First, this study demonstrates the
7 impacts of the variable “relative performance advantage” (i.e., the perceived AV’s relative performance
8 advantages over existing forms of transportation) on people’s stated intention to use AVs. Among all the
9 attitudinal variables considered, “AV-relative advantage” was the most important factor that influenced
10 participants’ AV-related decisions. Many studies (Cunningham et al., 2019; Liu et al., 2019) have similar
11 findings and this highlights the importance of improving the performance of AVs and EAVs for promoting
12 their adoption among potential users. Second, individuals who believe that AV usage is compatible with
13 their work and lifestyle needs have greater intention to use AVs, and the increased intention to purchase
14 GAVs and EAVs under the EAV-enabled Roadway Design. Previous studies such as Payre et al. (2014)
15 and Shin et al. (2015) also mentioned the importance of compatibility in AV adoption process. Third, the
16 results revealed that if people perceive AVs are safer than the existing transportation modes, they are more
17 likely to use AVs and purchase GAVs and EAVs. Similar findings can be found in the literature that people
18 who believe that removing human from the driving process has the potential of improving transportation
19 safety are more likely to use AVs (Kyriakidis et al., 2015; Zmud et al., 2016).

20 Fourth, people who perceived AVs are difficult to operate are more likely to have less intention to
21 use AVs, to buy GAVs, or EAVs. This is consistent with studies like Zmud et al. (2016) and König and
22 Neumayr (2017) and highlights the importance of proper training and human-machine interface design.
23 Fifth, the perceived risk (e.g., privacy concerns and accident liability) associated with using an AV plays
24 an important role in AV adoption. As more and more people are concerned about their digital footprints
25 and personal data safety, studies such as Choi and Ji (2015) and Kyriakidis et al. (2015) highlighted the
26 importance of reducing the perceived risk in AV adoption. Lastly, peoples’ perceived advantages of BEVs
27 and their range anxiety have statistically significant relationships with their intention to purchase EAVs and
28 changes to their intention to purchase EAVs in the EAV-enabled Roadway Design environment, while their
29 range anxiety only affects their intention to purchase EAVs. This is similar to studies related to intentions
30 to purchase BEVs (e.g., Valeri and Danielis, 2015; Berkeley et al., 2018).

31 **5.3. Social influence factors**

1 Of the four social factors considered in this study, only AV-image was found to have a statistically
2 significant positive relationship with intentions to use AVs. This highlights the mixed results regarding the
3 impacts of social factors on AV adoption and purchase. Studies like Axsen and Sovacool (2019) suggested
4 that social factors are important factors affecting AV-related decisions, while studies such as Liu et al.
5 (2019) concluded differently. In the literature, there is a tendency toward a positive, but still mixed, effects
6 of pro-AV and/or pro-EV social influence (e.g., subjective norms towards using AVs and EVs and positive
7 image related to using AVs and EVs) on people's intention to use AVs and purchase EAVs
8 (Panagiotopoulos and Dimitrakopoulos, 2018; Gkartzonikas and Gkritza, 2019; Herrenkind et al., 2019).
9 There are several possible reasons for such discrepancies, including experiment design differences, such as
10 considered variables, survey population differences, and so on. For example, some social factors such as
11 subjective norms can vary greatly between individuals across different studies, perhaps due to a lack of
12 understanding, experience, or clarity on what to expect from AVs in their current nascent stage of
13 development and deployment. Hence, terminology used in the questionnaire and provided information can
14 significantly impact survey results. Additional studies are needed to better understand these differences,
15 and more time and education might be necessary before such social factors stabilize.

16 **5.4. Personal innovativeness, environmental concerns, and attitude towards driving**

17 The participants who perceive themselves as “innovative” (e.g., love to try and/or purchase new
18 technologies and purchasing new products) were found to have greater intention to use AVs. This
19 observation suggests that people who demonstrate strong technology-related interest or have a greater
20 personal innovativeness, have a tendency to try new products even where these products may be expensive
21 and/or are at their nascent stages of development (e.g., Haboucha et al., 2017). Similar findings have also
22 been observed in other domains related to new technologies (Hurt et al., 1977).

23 In terms of the environmental concerns, the model estimation results show that respondents' level
24 of environmental concerns does not have a statistically significant relationship with their intention to
25 purchase EAVs or changes to their intention to purchase EAVs in the EAV-enabled Roadway Design
26 environment. Of the eleven previous studies listed in the literature review (Table 2), five studies similarly
27 showed that respondents' intention to purchase BEVs was not significantly associated with their
28 environmental concerns, ecological awareness, pro-environment attitudes, values, and beliefs (Barth et al.,
29 2016; White and Sintov, 2017; Westin et al., 2018; Carley et al., 2019; Spurlock et al., 2019). There is a
30 multitude of reasons that lead to the mixed results observed in the literature. A plausible reason is that the
31 impacts of environmental concerns on people's intention to purchase EAVs diminish when other
32 performance- and cost-related variables are included. Participants who dislike driving were found to exhibit
33 a greater intention to use AVs, and purchase EAVs and GAVs. This observation is similar to previous
34 studies that people who love driving or have a strong desire to exert control have a lesser intention to use
35 and purchase AVs (Howard and Dai, 2014; Payre et al., 2014; Haboucha et al., 2017).

36 Finally, the model estimation results show that people with a greater intention to use AVs are more
37 likely to have a greater intention to purchase GAVs and EAVs, and increased intention of purchasing GAVs
38 and EAVs under the EAV-enabled Roadway Design environment. These results also show that people's
39 intention to purchase GAVs and EAVs are positively correlated to their changes to the intention to purchase
40 GAVs and EAVs in the EAV-enabled Roadway Design.

41 **5.5. Sociodemographic and travel behavior characteristics**

42 Among the seven sociodemographic and travel behavior characteristics, “gender” factor is the only factor
43 that have statistically significant direct impacts on AV-related decision variables. However, other
44 characteristics have indirect impacts on these variables through other variables by having a statistically
45 significant relationship with latent variables that affect AV-related decision variables. The results show that
46 male (similar to Peters and Dütschke, 2014; Kyriakidis et al., 2015), millennials or younger (similar to
47 Westin et al., 2018; Cunningham et al., 2019), with a college degree or above (similar to Nayum and

1 Klöckner, 2014; Haboucha et al., 2017), having relatively high annual household income (more than
2 \$75,000) (similar to Okada et al., 2019; Wang and Zhao, 2019), living in urban areas (similar to Nazari et
3 al., 2018; Carley et al., 2019), having a relatively small household size (contradicting to Bansal et al., 2016;
4 Bansal and Kockelman, 2017; Nazari et al., 2018; Shabanpour et al., 2018; Sheela and Mannering, 2019),
5 or using drive alone or drive with family members as their most commonly used mode of transportation for
6 commute are more likely to have a higher intention to use AVs, intention to purchase GAVs and EAVs,
7 and larger changes in their intention to purchase GAVs and EAVs in the EAV-enabled design.

8 **6. Policy Implications**

9 Model estimation results and descriptive statistics can be used by policymakers and transportation planners,
10 in collaboration with AV manufacturers to design various infrastructural and policy support to mitigate
11 unintended negative consequences of the three revolutions and maximize their benefits.

12 **6.1. Minimizing unintended negative consequences**

13 First, in terms of people's preference of privately-owned AVs over shared mobility operated by AVs, most
14 people are more likely to be private AV owners instead of sharing them (Figure 8) which may signal the
15 potential challenges for facilitating promotion of shared mobility operated by AVs. In addition, the recent
16 pandemic may heighten the perceived need to privately owned vehicles over shared ones (e.g., previous
17 riders may be COVID-19 patients or carry other types of viruses that may affect the next rider) as suggested
18 in Guo et al. (2021c). If most AVs are still gasoline-powered and privately owned, it may lead to increasing
19 VMT and GHG emissions due to induced demand, zero occupancy vehicle ridership, and increased mobility.
20 These contemporary societal choices (favoring AV ownership) can determine the outcomes of the AV
21 adoption (Haugland and Skjølsvold, 2020). Hence, policymakers may consider policies such as restricting
22 privately-owned empty AVs' access the road at congestion regions or during peak hours, introducing
23 measures to improve the shared AV interior to reduce potential disease spreading through them, restoring
24 public's confidence in shared vehicles, and other measures to promote shared AVs and encourage shared
25 AV service providers to offer more shared EAVs instead of shared GAVs.

26 Second, in terms of people's concerns over vehicle automation, legal liability ("I would be afraid
27 of legal liability when using a self-driving car"), system and vehicle security ("I would be afraid of system
28 and vehicle security from hackers"), and privacy ("I would be afraid of the privacy issues relate to using a
29 self-driving car") are remaining to be of high concern among respondents (Table 7). It may require both
30 continued autonomy and algorithmic enhancement by automakers and academia, and policymakers adopt
31 the concept of technology governance. If AVs are promised to be safe and environmentally beneficial
32 compared to conventional vehicles, yet fail to deliver on either of these promises due to the fuel type or
33 ownership model, public trust in them may be undermined and their long-term potential curtailed (Stilgoe,
34 2018). A diversified approach that capitalizes on the inherent strengths of vehicle electrification, vehicle
35 automation, and shared ownership/ridership might assure that these emergent technologies and trends are
36 greater than the sum of their parts (Sperling, 2018). The concept of governance as social learning (how
37 people learn socially and how societies learn) is pertinent to AV technology and other new technologies.
38 Good governance should engage both the technological outcomes and the processes and purposes of
39 innovation. It is important for policymakers and AV manufacturers to focus on both technical security (e.g.,
40 improving system security) and legal measures (e.g., laws and policies related to accident liability) to
41 address these legitimate concerns. It is also important for policymakers, planners, and AV manufacturers
42 to develop a transparent plan that allows the public to better understand the technological and legislative
43 efforts to address these concerns and ease the valid anxieties of skeptical individuals.

1 Third, in terms of infrastructural and policy design process for preparing for the coming of three
2 revolutions, it is important to incorporate public in designing AV-related rules and regulations. This can be
3 critical for democracy and democratic processes and public participation should not be limited to
4 educational or marketing purposes. This study, along with studies such as Stilgoe (2018) and Haugland and
5 Skjølsvold (2020), represent one of the early attempts to understand public attitude, expectations, and
6 concerns of AVs and AV-related roadway designs. Public hearings, surveys, and other methods can be
7 implemented to ensure that both AV design and the regulations that govern them meet the needs of the
8 general public, and that public needs supersede business interests (Marres, 2020; Haugland and Skjølsvold,
9 2020).

10 Fourth, it is important to factor the needs of socially and economically disadvantaged
11 subpopulations when designing AV-related rules and regulations. The model estimation results show that
12 for people who are older (Generation X or older) or lower-income (have less than \$75,000 compared to the
13 U.S. median at \$68,703 in 2019) are less likely to use AV. Ideally, AVs should provide new mobility
14 options to older or lower-income travelers as they may have limited driving ability or affordable travel
15 options, and, as a result, increase their access to various types of opportunities. However, this study results
16 show that these people are more skeptical on AVs and may lag behind in the AV adoption process.
17 Therefore, the introduction of AVs may widen the gaps in terms of travel options and access to various
18 opportunities between early adopters (younger or high-income) and those lagging behind (older or low-
19 income). In addition, most existing AV-related advertisements developed by automakers only targeted male
20 and Caucasian drivers (Hildebrand and Sheller, 2018). It is important for policymakers to identify creative
21 policies and regulations to incentivize automakers to incorporate the needs of these subpopulations. It is
22 also important to factor the potential cultural and regional differences during the policy design process.
23 Furthermore, older adults are willing to try other kinds of new, useful technologies (Demiris et al., 2004),
24 and perhaps increased visibility of AVs' benefits in other populations may move them to see how they
25 might also benefit.

26 Finally, high market penetrations of the AVs, EAVs, and shared mobility give tremendous
27 opportunities for updating the existing urban landscape and roadway design guidelines and regulations.
28 Existing ones may be inadequate in addressing such changes brought by the three revolutions. For example,
29 parking minimums (private businesses and residences need to provide at least a certain number of off-street
30 parking) that are used in most states lead to the urban centers mostly occupied by parking structures,
31 roadside parking, and other parking facilities. Some of these parking facilities may not be needed when a
32 sizeable number of vehicles on the street are AVs (i.e., they can drive back home or park at some remote
33 locations). Some of such changes can be used for targeted promotion of AVs, EAVs, and/or shared mobility
34 in the future. Without updating these guidelines and regulations may lead to unintegrated three revolutions
35 with unintended negative consequences.

36 **6.2. Maximizing benefits**

37 The study results also show that additional efforts can be spent to facilitate seamless integration of vehicle
38 automation and electrification with shared mobility to maximize benefits from these revolutions.

39 In terms of perceived vehicle features and attributes, the following plans can be considered to
40 promote AVs, EAVs and/or shared mobility if needed. (i) It is important to emphasize the potential benefits
41 of EAVs over GAV options. Some of the advantages to highlight include reduced life-time vehicle costs
42 through energy consumption reduction (e.g., platooning), increased convenience and flexibility, and
43 reduced congestion through increased road capacity (e.g., reduced vehicle headway due to platooning). (ii)
44 Individuals whose work and/or lifestyle require frequent, flexible, and/or long-distance travel may likely

1 be early EAV adopters, and they can potentially create a positive image of shared AV and EAV use. (iii)
2 These results highlight the potential of introducing some of the measures and policies for BEV adoption to
3 promote purchasing EAVs over GAVs. Financial incentive policy measures such as direct subsidies and/or
4 tax exemptions could potentially make EAVs more cost competitive relative to GAVs. Government can
5 also potentially introduce programs that are similar to “Cash for Clunkers” to support private vehicle buying
6 services to suppose people to trade in HVs with poor fuel economy for EAVs with improved EPA-estimated
7 mileage. Or local government can potentially limit the number of GAVs that can be licensed each year
8 similar to what many cities in China (Beijing and Shanghai) are doing to promote BEVs. Information
9 provision policies that help to inform potential users on favorable EAV attributes (i.e., those that put EAVs’
10 relative advantages on display compared to GAVs), including their life-time cost, driving range, charging
11 time, battery life, environmental performance and other issues related to using EAVs can also be introduced,
12 and should play a critical role in peoples’ adoption decisions. Convenience policies and infrastructural
13 support such as the dedicated AV lanes and wireless charging mentioned earlier in this paper can potentially
14 provide both tangible and intangible benefits to the future EAV users that can further influence people’s
15 fuel choice when purchasing AVs or using an AV related service, but such emphasis on convenience might
16 directly clash with the desirable goal of promoting shared AV services. Additional studies are needed to
17 better understand the full impact of such policies on AV adoption and fuel choice, and how shared AV
18 options might be made more attractive transportation options.

19 In terms of the potential policy implications related to social influence factors, it is important to
20 create social (e.g., family and friends), media, and societal environments that promote the adoption of EAVs
21 and build positive image related to using EAVs over GAVs, as well as shared mobility. These environments
22 can be created through developing media reports, advertising campaigns, and organizing community
23 outreach programs to better inform the general public on related issues. In addition, it is also important for
24 federal- and state-level public organizations to act as potential early adopters of shared EAVs and promote
25 shared EAV visibility, familiarity, and adoption. These early adopters can potentially serve as a reference
26 group for the broader masses to positively promote EAV usage over GAVs and shared EAVs over privately-
27 owned EAVs.

28 In terms of participants’ concerns over complexity, the results highlight the importance of making
29 AV operation less complex, such as improving human machine interface so that potential users of different
30 ages, of varying technological ability/sophistication, varying levels of sensory, cognitive, and/or physical
31 ability can operate the AVs with less stress, adding fail safe measures to reduce the anxiety associated with
32 users initiating the wrong order (e.g., pressing the wrong button, saying the wrong voice command, etc.).
33 This can potentially make AVs more appealing to more potential users which will hopefully maximize their
34 benefits.

35 In terms of the policy implications related to perceived personal innovativeness, environmental
36 concerns, and attitude towards driving, a few conclusions can be derived from the model results. (i) People
37 with high personal innovativeness can likely be considered as possible early adopters of AVs. They can
38 potentially boost the initial diffusion of AVs as they are inclined to take risks when it comes to such new
39 technologies. It is important to attract this class of potential users by offering test ride opportunities with
40 AVs, particularly EAVs, and shared EAV services to provide them with first-hand experience of AV and
41 EAV technologies, and services provided by shared EAVs. (ii) Although environmental concern was not
42 found to be statistically significantly correlated with GAV or EAV purchase, it does not imply
43 environmental concerns do not play a role in their choice between GAVs and EAVs. Results suggest that
44 when promoting EAV adoption, a more effective, multifaceted advertising strategy could focus on the life-
45 time cost-saving benefits and other performance- and safety-related benefits of EAVs over GAVs rather
46 than solely focus on the environmental-related benefits of electrification. This may also be a factor that
47 affect their decisions related shared mobility. Additional studies are needed to understand the impacts of

1 individuals' environmental concerns, ecological awareness, pro-environment attitudes, values, and beliefs
2 on their AV fuel choice and intention to use shared mobility services provided by EAVs. (iii) People who
3 would rather not drive could potentially be early adopters of AVs as well. People's desire to exert vehicle
4 control or love for sensation-seeking through driving may be one of the main barriers for AV adoption
5 among some people, particularly at the beginning of the transition period. (iv) The proposed design features
6 such as dedicated lanes, wireless charging, and pick-up/drop-off zones for AVs can potentially promote
7 EAV adoption over GAVs. It is possible that these design features can also help to promote shared mobility
8 services provided by EAVs.

9 The model results also highlight subpopulations that are more likely to use AVs and buy EAVs.
10 These include males, those that are millennials or younger, highly educated (college degree or higher),
11 those that have a relatively high annual household income (more than \$75,000 compared to the U.S. median
12 at \$68,703 in 2019), those living in urban areas, those with a relatively smaller household size (fewer than
13 3 people compared to the U.S. average at 2.72 in 2019), or those driving alone or driving with family
14 members as their most commonly used mode of transportation for commute. These results facilitate the
15 identification of subpopulations who are more likely to be the early adopters of the EAVs and shared
16 mobility to promote a smoother transition from HVs to shared EAVs in the near future.

17 **7. Conclusions, Limitations, and Future Research**

18 Technological advances in AVs have immense potential to improve mobility of the currently underserved
19 populations, improve road safety, and increase transportation system efficiency. They can potentially also
20 bring negative externalities such as increasing VMT and emissions if the majority of the road users still
21 prefer privately-owned gasoline-powered vehicles. There is an ample number of studies focused on AV
22 adoption and human-driven vehicle fuel choice. However, none of the previous studies addressed the
23 potential similarities and differences among the factors that affect people's intention to purchase GAVs and
24 EAVs. Neither did the past studies investigate the effect of new roadway designs and facilities (such as
25 dedicated AV lanes, removal of parking, and wireless charging options) on people's intention to purchase
26 GAVs and EAVs or subscribe to such services. To address this gap, the present study developed a
27 comprehensive framework that uses 14 attitudinal factors to investigate factors that potentially affect AV
28 adoption and fuel choice while capturing the potential heterogeneities among people based on their
29 sociodemographic and travel behavior characteristics.

30 The purpose of this study is to pose useful questions (e.g., "are people's willingness to buy EAVs
31 and GAVs similar or different"), to gather data (e.g., people's attitude towards self-driving vehicle), to
32 generate first evidence (e.g., people's willingness to buy EAVs and GAVs have similarities and differences),
33 to provide relevant insights (e.g., how to use roadway designs to promote EAVs over GAVs), and to foster
34 future research the focal concept (e.g., potential differences among different countries in terms of AV
35 adoption). This can be critical in shaping the extent and direction of AV technology's impacts on the
36 transportation system as AVs are still yet to be commercially available (Haugland and Skjølsvold, 2020).

37 Apart from the policy implications in Section 6, this study can potential contribute to academia,
38 policymakers, and automobile industry in the following aspects. First, this study is the first one to factor
39 the potential impacts of a wide range of sociodemographic and behavioral characteristics and attitudinal
40 factors on AV usage, intention to purchase GAVs, and intention to purchase EAVs *concurrently*. Most of
41 the existing studies have yet to include vehicle powertrain as a factor affecting AV adoption process. Study
42 results also highlight that people still prefer vehicle ownership and GAVs. Transparent federal- and state-
43 level policies can also be developed to address people's concerns of using AVs and EAVs such as
44 information privacy, accident liability, and range anxiety.

45 Second, this study highlights the importance of differentiating the people's willingness to purchase
46 EAVs and GAVs in understanding AV adoption process as many people still prefer AV ownership and

1 GAVs. The needs for vehicle ownership over shared vehicles may be heightened during the global
2 pandemic as more people may prefer driving over other modes of transportation (e.g., crowded space and
3 mandated mask wearing requirements) and worry about the disease spreading through shared vehicles.
4 Policymakers may consider policies such as restricting privately-owned empty AVs from accessing the
5 road at congested regions or during peak hours, dedicated EAV lanes, reduced freeway tolls for EAVs, and
6 other measures to discourage personal GAV ownership and promote EAV purchases for transportation
7 providers. For future AV ridesharing service providers, they may consider restoring public's confidence in
8 shared vehicles through marketing and adopting measures to disinfect shared AV interiors to reduce the
9 potential risk of diseases spreading through shared AVs.

10 Third, this study is one of the first studies that attempts to capture the impacts of EAV-enabled
11 designs on AV and EAV adoption process. Policymakers can potentially leverage the knowledge and
12 experience from promoting alternative fuel vehicles to developing financial incentive policies (e.g., tax
13 exemption for EAVs), information provision policies (e.g., life-time cost-savings for using EAVs compared
14 to using GAVs), convenience policies (e.g., EAV users can use HOV lanes), and infrastructural support
15 (e.g., EAV charging infrastructure) for AV and EAV adoption. Considering that AV technology is not yet
16 mature, it is critical to develop these policies and measures early before habitual behaviors are formed (e.g.,
17 choosing to purchase GAVs instead of EAVs). This can also be applied to promotion of the shared EAVs
18 (EAV-based taxis, ridesharing, and vehicle-sharing services) and other forms of shared alternative fuel AVs.
19 Automakers may potentially consider collaborating with policymakers and various public institutions to
20 address the infrastructural supports that are needed for AVs to facilitate a safe and smooth transition from
21 the existing transportation system to an environment with both AVs and HVs.

22 Fourth, federal- and state-level agencies can potentially collaborate with AV automakers and
23 become early AV and EAV adopters (e.g., government vehicle fleet and public transit) and develop
24 community-level outreach programs that offer first-hand AV and EAV experience to the general public.
25 Such programs could be used to identify potential early AV and EAV adopters. These potential users can
26 include people with strong personal innovativeness, and those who dislike driving but need to make long,
27 frequent, or flexible trips. These users' experiences can demonstrate the relative performance and safety
28 advantages of AVs and EAVs to a wider audience and accelerate the diffusion of AVs and EAVs among
29 potential users to become early adopters, and these early adopters can later serve as a reference group (e.g.,
30 form positive subjective norms) to promote AV and EAV usage.

31 Fifth, the process of AV adoption (shared vs. owned and GAVs vs. EAVs) depends on
32 contemporary societal choices. It is important for public participation in AV-related rule and regulation
33 designs and vehicle designs that can address the needs of travelers, particularly for people who have social
34 and economic disadvantages.

35 Sixth, improving the AV's capabilities and maintaining a good safety track record still play the
36 most critical role in AV adoption process as it shapes people's perception of relative advantage, safety, and
37 concerns of EAVs and AVs, while policy and infrastructural support and educational, informational, and
38 marketing campaigns can play a complimentary role in the process. Policymakers can focus on designing
39 standards, regulations, and incentives that promote automakers to invest in EAVs instead of GAVs. For
40 example, although EV-related technology has been available for nearly a hundred years, automakers had
41 limited incentives to design low-cost and long-range EVs (e.g., GM's ill-fated EV1). After California
42 introduced more stringent fuel economy and vehicle emissions standards in the 2010s, many automakers in
43 the U.S. started to invest in EV technology innovations leading to many popular EV models in the U.S.,
44 ranging from Nissan LEAF to Tesla. Similarly, as many cities in China such as Beijing and Shanghai
45 introduced tougher regulations for GVs to be licensed, many Chinese home-grown models such as Wuling
46 Hong Guang Mini (priced at around \$4,500 in 2021), BYD's Han (priced at around \$40,000 in 2021), and
47 Xpeng's P7 (priced at around \$37,000) were introduced to compete with Tesla and other international
48 brands.

1 Finally, it is important to note that a collective effort should be made among automakers,
2 policymakers, and academia to minimize the potential negative impacts of AV and maximize the potential
3 positive impacts of AV. Such process may not be limited to promoting continued autonomy and algorithmic
4 enhancement and glorifying the benefits of AVs by some automakers, academia may not assume that most
5 people will choose shared AVs over owning AVs and EAVs over GAVs in their analysis, and policymakers
6 may not rely on a “hands-off” approach to let automakers setting the AV standards and may consider a
7 proactive approach with sufficient efforts in public education and engagement.

8 This study has several limitations that can be addressed through future studies. First, the study
9 participants were recruited through MTurk which may limit the types of participants in the study in terms
10 of their sociodemographic characteristics. Other types of data collection methods can be used to validate
11 the findings of this study. Second, previous studies have shown that AV-related travel experience can
12 potentially influence AV adoption (Chen et al., 2019; Zoellick et al., 2019). Future research could use
13 roadway designs in a virtual reality or driving simulator environment where the EAV operator experiences
14 more realistically, some of the potential benefits of EAVs vis-à-vis a gasoline-powered vehicle in operating
15 and refueling in that driving environment. Third, in this study, all the survey participants were from the U.S.
16 It would be insightful to carry out a similar survey in different countries and to explain any differences in
17 the behavior of prospective AV users. Several recent studies have shown that there is a wide gap, across
18 countries, between people’s intention to use AVs and other travel-related behavior (Guo et al., 2018; Vidhi
19 and Shrivastava, 2018; Jing et al., 2019; Li et al., 2019; Guo et al., 2020; Guo et al., 2021a). OC&C (2019)
20 suggested that 28% of participants in China “would like to be one of the first to try an autonomous vehicle”
21 compared to 13% in the U.S. The same study also showed that 40% of participants in the U.S. “would be
22 very unlikely to use an autonomous car” compared to 5% for participants in China. In future work, the
23 differences can be evaluated to design various policies to promote AV and EAV adoption in other countries.
24 Fourth, in this study, it is assumed that people’s intention to use AVs (i.e., transportation services provided
25 by AVs instead of HVs) do not change from the original design to the EAV enabled design. Additional
26 studies are needed to understand if there are any differences among these intentions. Fifth, although this
27 study has a relatively large sample size compared to the existing literature, the larger sample size
28 accomplished with longitudinal study can give better understanding of people attitude toward AVs, as well
29 as give insights in its possible evolution. Sixth, in this study, the description for AVs (self-driving cars) is
30 from SAE and it has its limitations which include but not limited to (i) assuming that the automation
31 increases linearly and displace human work (i.e., the more automation is better), (ii) not adequately
32 addressing human-machine cooperation, (iii) not including components critical to AVs, such as
33 infrastructure, environment, and context of use, and (iv) subjecting to misuse (i.e., a system can be labeled
34 with a level that only applies to part of their operation or future operation) (Stayton and Stilgoe, 2020).
35 Future studies should consider providing a definition that can address these limitations. Seventh, this study
36 used a wide range of existing classic literature such as Technology Acceptance Model and Technology
37 Diffusion Theory to study AV adoption process. It is still can be considered as a simplified process to
38 describe AV adoption process. Future studies can consider address this issue by designing a more
39 comprehensive framework for understanding AV adoption process.

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